

Signal-to-Noise Ratio Improvement of EMCCD Cameras

Wen W. Zhang, Qian Chen, Bei B. Zhou and Wei J. He

Abstract—Over the past years, the EMCCD has had a profound influence on photon starved imaging applications relying on its unique multiplication register based on the impact ionization effect in the silicon. High signal-to-noise ratio (SNR) means high image quality. Thus, SNR improvement is important for the EMCCD. This work analyzes the SNR performance of an EMCCD with gain off and on. In each mode, simplified SNR models are established for different integration times. The SNR curves are divided into readout noise (or CIC) region and shot noise region by integration time. Theoretical SNR values comparing long frame integration and frame adding in each region are presented and discussed to figure out which method is more effective. In order to further improve the SNR performance, pixel binning is introduced into the EMCCD. The results show that pixel binning does obviously improve the SNR performance, but at the expense of the spatial resolution.

Keywords—EMCCD, SNR improvement, pixel binning

I. INTRODUCTION

EMCCDs have revolutionized the world of low light imaging by bringing improved detection limits along with high readout rates [1]. Their unique feature is that they amplify the signal above the readout noise floor of the CCD [2]. This is achieved by an additional multiplication register inserted between the readout register and the output amplifier, as shown in Fig. 1 [3]. When signal electrons are transferred through the multiplication register, amplification occurs by harnessing a process known as impact ionization. The signal is multiplied in the charge domain and the readout noise is effectively reduced to subelectron level, which allows the EMCCD to run at much faster readout speeds while retaining an excellent detection limit. This pushes the boundary of conventional CCDs and makes EMCCDs sensitive to single electrons.

W. W. Zhang is with the School of Electric Engineering and Optoelectronic Technique, Nanjing University of Science & Technology, Nanjing 210094 China (corresponding author to provide phone: 86-25-84315869; fax: 86-25-84303031; e-mail: zhangwenwen1205@hotmail.com).

Q. Chen, is now with the School of Electric Engineering and Optoelectronic Technique, Nanjing University of Science & Technology, Nanjing 210094 China (e-mail: chenq@mail.njust.edu.cn).

B. B. Zhou is with the School of Electric Engineering and Optoelectronic Technique, Nanjing University of Science & Technology, Nanjing 210094 China (corresponding author to provide phone: 86-25-84315869; fax: 86-25-84303031; e-mail: kelyfe_zbb@hotmail.com).

W. J. He is with the School of Electric Engineering and Optoelectronic Technique, Nanjing University of Science & Technology, Nanjing 210094 China (corresponding author to provide phone: 86-25-84315869; fax: 86-25-84303031; e-mail: njsthewei@hotmai.com).

This work was supported by NUST Research Funding (No:2010ZYTS033) and Doctoral Fund of Ministry of Education of China (No: 20070288011)

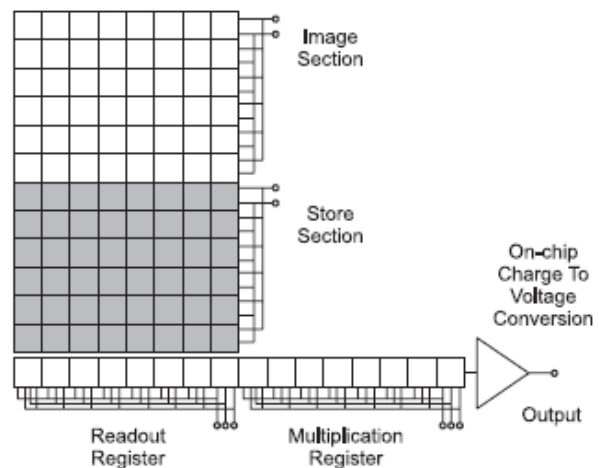


Fig. 1 EMCCD Structure

Since EMCCDs were first produced at e2v technologies in the late 1990s, they have found use in many applications where either the signal level is very low or where high frame rate imaging is required [4], including biological sciences, astronomical, photometry and spectroscopic applications [5]–[8]. The most important characteristic of an EMCCD is the improved SNR performance under low light level conditions. The higher the SNR, the better the image quality. In the following sections, we investigate the SNR performance of an EMCCD with gain off and on. Simplified SNR models are established in each mode. The influence of pixel binning on the SNR is also discussed by theoretical calculation and experimental measurements.

II. SNR PERFORMANCE AND SIMPLIFIED MODELS

For an EMCCD, the SNR can be defined as [9]:

$$SNR = \frac{S}{N_{tot}} = \frac{S}{\sqrt{F^2 G^2 (N_p^2 + N_d^2 + N_c^2) + N_r^2}} \quad (1)$$

where S is detected signal, N_{tot} is total noise, F is noise factor, G is multiplication gain, N_p is photon shot noise, N_r is dark current noise, N_c is clock induced charge noise and N_r is readout noise.

Here the integration time is introduced as the independent variable, and Equation (1) can be rewritten in

$$SNR = \frac{P\eta t}{\sqrt{F^2(P\eta t + I_d t + C) + \left(\frac{N_r}{G}\right)^2}} \quad (2)$$

where P is mean incident photon flux, η is quantum efficiency of the EMCCD, t is integration time, I_d is dark current, C is clock induced charge (CIC).

Then we analyze the SNR in two operation modes: Gain Off and Gain on.

A. Gain Off

If the gain is off, an EMCCD is equal to a conventional CCD. CIC is completely buried in the readout noise and can be ignored. Noise factor is unity. Equation (2) is written as:

$$SNR_{Gain\ Off} = \frac{P\eta t}{\sqrt{P\eta t + I_d t + N_r^2}} \quad (3)$$

Photon shot noise and dark current noise are proportional to integration time. While integration time is very short, they are neglected and readout noise is dominated. Equation (3) can be simplified as:

$$SNR_r = \frac{P\eta t}{N_r} \quad (4)$$

As integration time is increased, photons detected by the EMCCD grow and dark current is also accumulated within the device. Photon shot noise and dark current noise exceeds readout noise, and Equation (3) can be simplified as:

$$SNR_s = \frac{P\eta}{\sqrt{P\eta + I_d}} \sqrt{t} \quad (5)$$

The condition for which SNR_r and SNR_s give the same performance is given by:

$$\frac{P\eta t_0}{N_r} = \frac{P\eta}{\sqrt{P\eta + I_d}} \sqrt{t_0} \quad (6)$$

where t_0 is the integration time. Solving for t_0 then gives:

$$t_0 = \frac{N_r^2}{P\eta + I_d} \quad (7)$$

Fig.2 shows $SNR_{Gain\ Off}$, SNR_r and SNR_s variation as a function of integration time for an cooled EMCCD with the following parameters: $P = 100$ photons/pixel/s, $\eta = 50\%$, $C = 0.1e^-$ /pixel, $I_d = 0.05 e^-$ /pixel/s, $N_r = 20 e^-$.

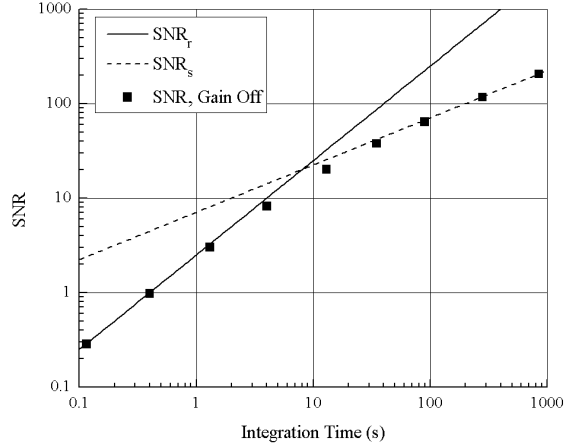


Fig. 2 Variation of the SNR versus integration time for an cooled EMCCD (Gain Off)

In Fig.2, $t_0 = 7.992s$. For integration time less than t_0 , readout noise is leading noise and SNR_r curve agrees with $SNR_{Gain\ Off}$ well, whilst for integration time in excess of t_0 , shot noise becomes dominant and SNR_s is consistent with $SNR_{Gain\ Off}$.

B. Gain On

Followed by discussion of the case $G = 1000$. For a gain greater than ≈ 10 , F approaches $\sqrt{2}$. Effective readout noise $\frac{N_r}{G} \ll 1$ and can be ignored. Equation (6) is written as:

$$SNR_{G=1000} = \frac{P\eta t}{\sqrt{2(P\eta t + I_d t + C)}} \quad (8)$$

For short integration time, CIC becomes the main noise and Equation (8) can be simplified as:

$$SNR_c = \frac{P\eta t}{\sqrt{2C}} \quad (9)$$

For long integration time, photon shot noise and dark current noise are main noise sources. Equation (8) can be simplified as:

$$SNR_s = \frac{P\eta}{\sqrt{2(P\eta + I_d)}} \sqrt{t} \quad (10)$$

The condition for which SNR_c and SNR_s give the same performance is given by:

$$\frac{P\eta t_0}{\sqrt{2C}} = \frac{P\eta}{\sqrt{2(P\eta + I_d)}} \sqrt{t_0} \quad (11)$$

where t_0 is the integration time. Solving for t_0 then gives:

$$t_0 = \frac{C}{P\eta + I_d} \quad (12)$$

Fig.3 shows $SNR_{G=1000}$, SNR_c and SNR_s variation as a function of integration time for an cooled EMCCD with the same parameters as Fig.2.

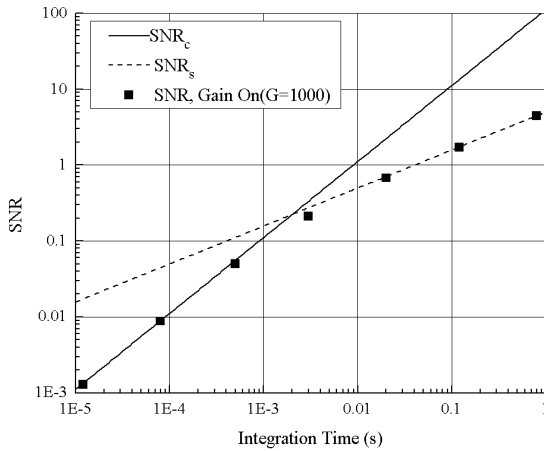


Fig. 3 Variation of the SNR versus integration time for an cooled EMCCD (G=1000)

In Fig.3, $t_0 = 2\text{ms}$. For integration time less than t_0 , CIC is leading noise and SNR_c curve agrees with $SNR_{G=1000}$ well, whilst for integration time in excess of t_0 , shot noise becomes dominant and SNR_s is consistent with $SNR_{G=1000}$.

C. Long Frame Integration vs. Frame Adding

From the above analysis, the SNR performance of an EMCCD can be divided into two regions: readout noise (or CIC) region and shot noise region. We compare the SNR differences between long frame integration and frame adding in each region.

First, in readout noise (or CIC) region, long frame integration time is 1s with gain off and 10^{-3}s with $G=1000$. For frame adding, integration time is 0.1s with gain off and 10^{-4}s with $G=1000$. Secondly, in shot noise region, long frame integration time is 100s with gain off and 10^{-1}s with $G=1000$. For frame adding, integration time is 10s with gain off and 10^{-2}s with $G=1000$. The number of unit frames that are added together is N ($N=10$). Frame adding multiplies the SNR by a factor of $\sqrt{N}$. The results are displayed in Tables 1 and 2.

TABLE I
SNR DIFFERENCES BETWEEN LONG FRAME INTEGRATION AND FRAME ADDING.
GAIN OFF (N=10)

t/s	0.1	1	10	100
SNR_r	0.25	2.5	—	—
$\sqrt{N} SNR_r$	0.7906	—	—	—
SNR_s	—	—	22.3495	70.6753
$\sqrt{N} SNR_s$	—	—	70.6753	—

TABLE II
SNR DIFFERENCES BETWEEN LONG FRAME INTEGRATION AND FRAME ADDING.
G=1000 (N=10)

t/s	10^{-4}	10^{-3}	10^{-2}	10^{-1}
SNR_c	0.0112	0.1118	—	—
$\sqrt{N} SNR_c$	0.0354	—	—	—
SNR_s	—	—	0.4998	1.5803
$\sqrt{N} SNR_s$	—	—	1.5803	—

Tables 1 and 2 show that long frame integration provides substantially better SNR values as compared to frame adding in readout noise (or CIC) region. In shot noise region, both results are the same. However, frame adding can reduce the random noise and it is preferable in shot noise region.

III. PIXEL BINNING

Pixel binning is an image readout scheme, which combines adjacent pixel charges in both the horizontal and vertical direction into a single larger charge or “super pixel”. Binning of 1×1 means that the individual pixel is used as is. A binning of 2×2 means that 4 adjacent pixels have been combined into one larger pixel, and so on. Pixel binning effectively increase the pixel size while also increasing sensitivity [10]. The SNR of an EMCCD using pixel binning is given by:

$$SNR_{\text{Binning}} = \frac{MP\eta t}{\sqrt{F^2 M (P\eta t + I_d t + C) + \left(\frac{N_r}{G}\right)^2}} \quad (13)$$

where M is the binning factor, which represents the number of pixels that are combined to form a larger pixel. If the gain is off, Equation (13) is written as:

$$SNR_{\text{Binning, Gain Off}} = \frac{MP\eta t}{\sqrt{M(P\eta t + I_d t + C) + N_r^2}} \quad (14)$$

In the case of $G=1000$, Equation (13) is written as:

$$SNR_{\text{Binning, G=1000}} = \frac{\sqrt{M} P\eta t}{\sqrt{2(P\eta t + I_d t + C)}} \quad (15)$$

Fig. 4 and 5 show SNR variation as a function of integration time for an cooled EMCCD with different binning factors. The parameters are the same as Fig. 2.

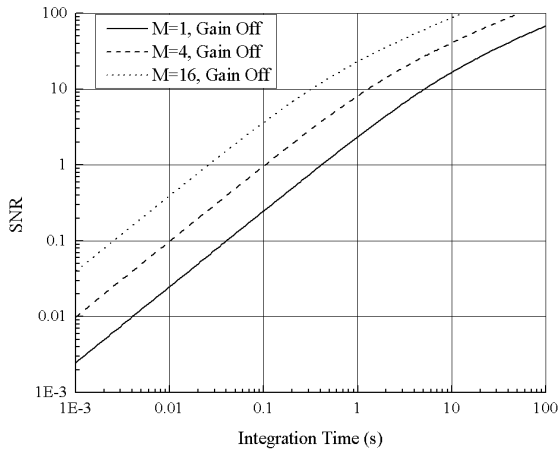


Fig. 4 Variation of the SNR versus integration time for a cooled EMCCD with different binning factors (Gain Off)

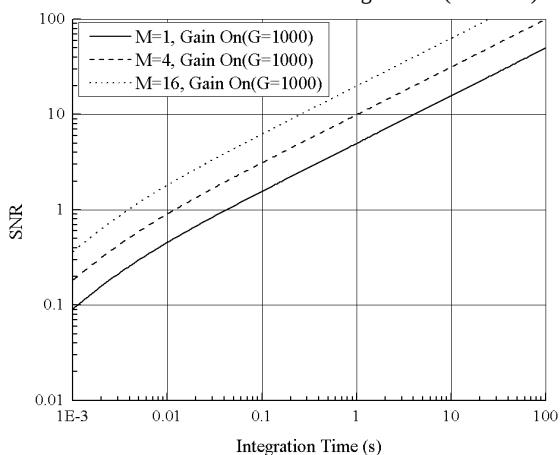
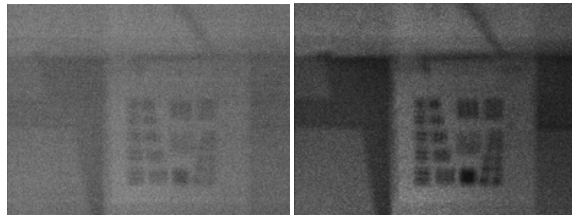


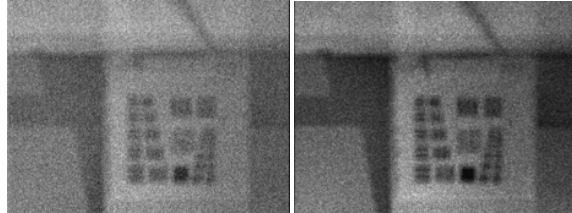
Fig. 5 Variation of the SNR versus integration time for a cooled EMCCD with different binning factors (G=1000)

In Fig.4 and 5, pixel binning does improve the SNR of the EMCCD. The larger the binning factor, the higher the SNR. Comparing Fig.4 and 5, the latter provides better SNR for short integration time but loses advantage for long integration time. The reason is that gain effectively reduces readout noise, which is the dominate noise for short integration time in Fig. 4. As the integration time extends, shot noise is the main noise, and the noise factor introduced by gain degrades the SNR in Fig.5.

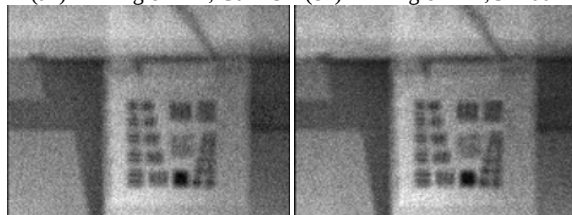
As illustration of the above theoretical work, a set of pictures (Fig.6) show the SNR improvements provided by pixel binning in an EMCCD system. The pictures are captured by the Luca Camera in a darkroom. An integrating sphere is coupled to the EMCCD as a light sources with the illumination of 10^{-3} lx. The integration time is 20ms. From the top to the bottom, the SNR improves when binning more and more pixels. From the left to the right, gain provides better SNR performance when the binning factor is the same. However, comparing (b1) with (b3), we find that the drawback of pixel binning is a reduction in image resolution. To some extent, it limits the binning numbers.



(a1) Binning of 1×1, Gain Off (b1) Binning of 1×1, G=100



(a2) Binning of 2×2, Gain Off (b2) Binning of 2×2, G=100



(a3) Binning of 4×4, Gain Off (b3) Binning of 4×4, G=100

Fig. 6 The effect of pixel binning and gain on the SNR

IV. CONCLUSION

In this paper, the SNR performance of the EMCCD are divided into readout noise (or CIC) region and shot noise region by integration time. In readout noise (or CIC) region, long frame integration provides better SNR than frame adding. In contrast, frame adding outperforms.

Pixel binning offer benefits in faster readout speeds and improved SNR. In theory, the binning factor is only subject to the total pixel elements of the EMCCD. In fact, it is limited by full well and the spatial resolution. Therefore, we need to select an appropriate binning factor to achieve the balance between SNR and spatial resolution.

REFERENCES

- [1] A. O'Grady, "A comparison of EMCCD, CCD and emerging technologies optimized for low light spectroscopy applications", in *Proc. SPIE Biomedical Vibrational Spectroscopy III: Advances in Research and Industry*, 2006, pp. 60930S1-9.
- [2] P. JERRAM, P. POOL, R. BELL, et al., "The LLLCCD: low -light imaging without the need for an intensifier," in *Proc. SPIE Sensors and Camera Systems for Scientific, Industrial, and Digital Photography Applications II*, 2001, vol. 4306, pp. 178-186.
- [3] Digital Camera Fundamentals. Andor Technology. <http://www.andor.com/pdfs/Digital%20Camera%20Fundamentals.pdf>
- [4] P. A. Jerram, P. J. Pool, D. J. Burt, et al., "Electron Multiplying CCDs", in *Proc. SNIC Symposium*, 2006, pp. 1-6.
- [5] P. J. Pool, D. G. Morris, D. J. Burt, R. T. Bell, A. D. Holland, et al., "Application of electron multiplying CCD technology in space instrumentation", in *Proc. SPIE Focal Plane Arrays for Space Telescopes II*, 2005, pp. 59020A1-6.

- [6] N. Smith, C. Coates, A. Giltinan, J. Howard, A. O'Connor, et al., "EMCCD Technology and its Impact on Rapid Low-Light Photometry", in *Proc. SPIE Optical and Infrared Detectors for Astronomy*, 2004, pp. 162-172.
- [7] S. Tulloch, "Modelling the suitability of EMCCDs for spectroscopic applications", in *Proc. SPIE High Energy, Optical, and Infrared Detectors for Astronomy III Marseille*, 2008, pp. 70212C1-10.
- [8] T. C. Soesbe, M.A. Lewis, E. Richer, et al., "Development and Evaluation of an EMCCD Based Gamma Camera for Preclinical SPECT Imaging", *IEEE Trans. Nuclear Science*, vol. 50, pp. 1227–1232, May. 2003.
- [9] Wen W. Zhang, Qian Chen. Optimum Signal-to-noise Ratio Performance of Electron Multiplying Charge Coupled Devices. *World Academy of Science, Engineering and Technology*, vol. 54, pp. 264-268, June. 2009.
- [10] Pixel Binning. <http://www.ccd.com/ccd103.html>

Wen W. Zhang was born in China in 1981. In 2010 she received her Ph.D. degree optical engineering from Nanjing University of Science & Technology, China. Now she is taking her postdoctoral programs in electronic science and technology in the same university.

She has authored and co-authored several papers in the field of image processing and signal analysis.

Qian Chen was born in China in 1964. In 1996, he received his Ph.D. degree in optical engineering from Nanjing University of Science & Technology, China.

In 1993 he was appointed to Research Fellow working with the City University of Hong Kong collaboration. Since 1995, he has been working for Nanjing University of Science & Technology on the development and application of optoelectronic imaging theory and technology. He is currently a dean of School of Electric Engineering and Optoelectronic Technique, and an advisor of Ph.D. professor. He has authored and co-authored 80 papers in the field of optoelectronic imaging devices and systems. He has participated in the paper review process for various journals.

Prof. Chen is a member of SPIE. He was awarded chair professor of Cheung Kong Scholars Program in 2005. He was also the winner of National Government Special Allowance and National Outstanding Contribution Medium & Youth Expert.