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# Bounds On The Second Stage Spectral Radius Of Graphs

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Abstract—Let G be a graph of order n. The second stage adjacency matrix of G is the symmetric  $n \times n$  matrix for which the  $ij^{th}$  entry is 1 if the vertices  $v_i$  and  $v_j$  are of distance two; otherwise 0. The sum of the absolute values of this second stage adjacency matrix is called the second stage energy of G. In this paper we investigate a few properties and determine some upper bounds for the largest eigenvalue.

Keywords—Second stage spectral radius; Irreducible matrix; Derived graph.

### I. INTRODUCTION

Let G be a connected graph with vertex set  $V(G) = \{v_1, v_2, ..., v_n\}$ . The second stage adjacency matrix is denoted by  $A_2(G)$  and the second stage energy by  $E_2(G)$ . As it is symmetrical it will be an adjacency matrix for some graph G' which we call the derived graph of G. If  $\Delta'$  is the maximum degree of G' then clearly  $\Delta' \leq \Delta$ . Irreducibility of the adjacency matrix is related to the property of connectedness[2]. Hence  $A_2(G)$  is irreducible if and only if the derived graph G' is connected. Proposition 2.1 guarantees plenty of graphs for which their derived graphs are connected, for example, the Peterson graph whose derived graph is a 6-regular graph. In this paper we consider only those graphs for which  $A_2(G)$  is irreducible.

## II. SOME PROPERTIES

The derived graph of any odd cycle  $C_{2m-1} = < v_1, v_2, ..., v_{2m-1} >$  is the odd cycle  $C_{2m-1} = < v_1, v_3, v_5, ..., v_{2m-1}, v_2, ..., v_{2m-2} >$ . This motivates to enunciate the following proposition:

Proposition 2.1. Let G be a graph having  $C_{2m-1}=< v_1,v_2,...,v_{2m-1}>$  as an induced subgraph for some  $m\geq 3$ . If (i) $\Delta\leq n-2$  and

(ii) for every  $u \in V(G) - V(C_{2m-1})$ , there exist at least one  $v_j \notin N(u)$ ,  $j \in \{1, 2, ..., 2m-1\}$ , then the derived graph is connected.

Proof: As mentioned above the induced subgraph  $\langle v_1, v_2, ..., v_{2m-1} \rangle$  is connected in G'. Choose any vertex  $u \neq v_i$  for all i=1,2,...,2m-1 and let  $v_j$  be a vertex in  $C_{2m-1}$  which is not in N(u).

Case 1.  $N(u) \cap \{v_1, v_2, ..., v_{2m-1}\} = \phi$ .

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Let  $u=u_1u_2...u_r=v_i$  be the shortest path from u to  $C_{2m-1}$  of length r.

Case 1.1. r is even, then we have

 $d(u = u_1, u_3) = d(u_3, u_5) = \dots = d(u_{r-2}, u_r = v_i) = 2$  and so the derived graph has the path  $uu_3u_5...u_{r-2}v_i$ .

Case 1.2. r is odd, then we have  $uu_3u_5...u_{r-1}v_{i+1}$  is a path in the derived graph.

Case 2.  $N(u) \cap \{v_1, v_2, ..., v_{2m-1}\} \neq \phi$ .

Choose a vertex  $v_k \in N(u) \cap \{v_1, v_2, ..., v_{2m-1}\}$  such that  $v_k$  is nearest to  $v_j$ . If  $k = j \pm 1$ , then  $d(u, v_j) = 2$ . Otherwise  $v_l$  is of distance two from u where  $l = k \pm 1$ , i.e.,  $d(u, v_l) = 2$ .

Proposition 2.2. Let G be a r-regular graph with order n such that n=2r+1. Then the derived graph G' of G is also r-regular.

Proof: Clearly r is even. Choose any vertex  $v_i$ . Let  $v_k$  be a vertex such that  $v_k \in N(v_i)$ .

Claim:  $d(v_k, v_i) = 2$ . Otherwise,  $v_k \notin N(v_j)$  for all  $v_j \in N(v_i)$ . This implies  $deg(v_k) \leq (2r+1)-(r+2) = r-1$ , which is a contradiction since  $deg(v_k) = r$ .

Remark: Converse of the above proposition is not true. For example, consider any odd cycle other than  $C_5$ . It is 2-regular and its derived graph being an odd cycle is also 2-regular. But  $n \neq 2r+1$ .

Proposition 2.3. The derived graph of circulant graph is a circulant graph.

Proof: Let G be a circulant graph formed by the set  $S\subseteq\{1,2,...,n\}$ . Then  $i\in S$  if and only if  $n-i\in S$  [1]. Consider a vertex  $v_i$ . Let  $v_k\in D(v_i)$ . Then there exists a vertex  $v_j$  such that  $v_j$  is adjacent to  $v_i$  and  $v_k$ . Then by the definition of circulant graph,  $v_{n-k}$  is also adjacent to  $v_j$  and so  $v_{n-k}\in D(v_i)$ . Thus, G' is formed by a set  $S'\subseteq\{1,2,...,n\}$  such that  $k\in S'$  if and only if  $n-k\in S'$  and hence G' is also circulant.

Proposition 2.4. Given any positive integer n of the form  $p^r$  where p is a prime number and r is a positive integer, there exists a graph G for which the second stage energy is  $2(p-1)p^{r-1}$ .

Proof: Let G be the complement of the circulant graph H formed by the set  $S=\{\alpha_1,\alpha_2,...,\alpha_k\}$  where  $\alpha_i$ 's are all numbers less than n and prime to n. Then the derived graph of G is the circulant graph H whose energy is  $2(p-1)p^{r-1}$  [1]. Hence  $E_2(G)=E(H)=2(p-1)p^{r-1}$ .

Theorem 2.5. Let  $D(v_i) = \{v_j : d(v_i, v_j) = 2\}$ . Then for each fixed

 $i = 1, 2, ..., n, |D(v_i)| = S_1 - S_2, \text{ where}$ 

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 $S_1 = \sum_{v_j adjtov_i and v_j nonpendent} \sum_{v_j adjtov_i and v_j nonpendent} |N[v_i] \cap$  $|N(v_i)|$  $S_2 = \sum_{v_k \in D(v_i)}^{v_k \in D(v_i)} (l_k - 1)$ , where  $l_k$  is the number of vertices which are adjacent to both  $v_i$  and  $v_k$ .

Proof: If we take any vertex  $v_j$  adjacent to  $v_i$ , then all members of  $N(v_j)$  need not be in  $D(v_i)$ ; because some neighbours of  $v_j$  may be neighbours of  $v_i$  and so  $v_i$  can contribute only  $|N(v_i)| - |N[v_i] \cap N(v_i)|$  number of members to  $D(v_i)$ . Similarly for all other neighbours of  $v_i$ . Therefore, the total number of members contributed by the neighbours of  $v_i$  is

$$\sum_{v_j adjtov_i and v_j nonpendent} \{|N(v_j)| - |N[v_i] \cap N(v_j)|\},$$
 which can also be written as

$$\begin{array}{lll} S_1 &=& \sum_{v_j adjtov_i and v_j nonpendent} & |N(v_j)| & -\\ \sum_{v_j adjtov_i and v_j nonpendent} & |N[v_i] \cap N(v_j)|. \\ & \text{Among these } S_1 \text{ members, some may appear more than} \end{array}$$

once. For example, a member  $v_k$  of  $D(v_i)$  may have neighbours  $v_1, v_2, ..., v_{l_k}$  which all are in turn neighbours of  $v_i$  also. Thus,  $v_k$  is repeated say  $l_k$  times in  $S_1$ . But it should be taken only once. Thus we get the required result.

Corollary 2.6. If the second stage adjacency matrix is irreducible, then

 $|D(v_i)| \leq 2m - 2d_i - \delta + \epsilon_{F_i}$  where  $\epsilon_{F_i}$  is the number of pendent vertices adjacent to  $v_i$ 

Proof: We observe that  $v_i$  is included as many times as

in 
$$\sum_{v_j adjtov_i andv_j nonpendent} |N[v_i] \cap N(v_j)|$$
.  
Hence  $\sum_{v_j adjtov_i andv_j nonpendent} |N[v_i] \cap N(v_j)| \ge d_i - \epsilon_{F_i}$ .  
Therefore

$$D(v_i) \le S_1 \le \sum_{v_j adjtov_i and v_j nonpendent} |N(v_j)| - d_i + \epsilon_{F_i}$$

Since the second stage adjacency matrix is irreducible, for each vertex  $v_i$ , there is at least one vertex  $v_k$  which is non adjacent to  $v_i$ . Therefore

$$\sum_{v_j adjtov_i and v_j nonpendent} |N(v_j)| \le 2m - d_i - \delta$$
 (2)

Combining (1) and (2), we get  $D(v_i) \leq 2m - 2d_i - \delta + \epsilon_{F_i}$ .

### III. BOUNDS FOR THE LARGEST EIGENVALUE

Theorem 3.1. Let G be a graph with minimum degree  $\delta > 1$ and maximum degree  $\Delta$ , then

$$\rho(G) \leq \sqrt{2\Delta(m+n-\delta-1)-4m+\delta(2-\delta)+A},$$
where  $A=\epsilon_F(2\Delta+\delta+1)$  and  $\epsilon_F$  is the number of pendent vertices of G.

Proof:

Proof: Let  $D(v_i) = \{v_j : d(v_i, v_j) = 2\}$ . Let  $D_1(v_i) =$  $\{v_j: d(v_i, v_j) \neq 2\}$  and let  $D'_1(v_i) = D_1(v_i) - \{v_i\}$ . Let  $x=(x_1,x_2,...,x_n)^T$  be the unit eigenvector corresponding to  $\rho(G)$ . Then  $\rho(G)x_i=\sum_{j=1}^n a_{ij}x_j$ . By Cauchy-Schwarz inequality,

$$\begin{array}{ll} \rho^{2}(A)x_{i}^{2} = (\sum_{j=1}^{n} \ a_{ij}(a_{ij}x_{j}))^{2}. \\ & \leq \sum_{j=1}^{n} \ a_{ij}^{2} \sum_{j=1}^{n} \ (a_{ij}x_{j})^{2} \\ & \leq (2m - (2d_{i} + \delta - \epsilon_{F_{i}})) \sum_{j \in D(v_{i})} \ x_{j}^{2}, \ \text{by using} \\ \text{corollary 2.6.} \end{array}$$

Hence 
$$\begin{array}{l} \rho(G)^2 = \sum_{i=1}^n \; \rho(G)^2 x_i^2 \\ \leq \sum_{i=1}^n \; (2m - (2d_i + \delta - \epsilon_{F_i})) \sum_{j \in D(v_i)} \; x_j^2 \\ = \sum_{i=1}^n \; (2m - (2d_i + \delta - \epsilon_{F_i})) (1 - \sum_{j \in D_1(v_i)} \; x_j^2) \\ = \sum_{i=1}^n \; (2m - (2d_i + \delta - \epsilon_{F_i})) - \sum_{i=1}^n \; (2m - (2d_i + \delta - \epsilon_{F_i})) \sum_{j \in D_1(v_i)} \; x_j^2 \end{array}$$

$$= 2mn - 4m - n\delta + \epsilon_F - \sum_{i=1}^{n} (2m - (2d_i + \delta - \epsilon_{F_i})) \sum_{j \in D_1(v_i)} x_j^2$$
(3)

In (3), we estimate, 
$$-\sum_{i=1}^{n} (2m - (2d_i + \delta - \epsilon_{F_i})) \sum_{j \in D_1(v_i)} x_j^2$$

$$= -\sum_{i=1}^{n} 2m \sum_{j \in D_1(v_i)} x_j^2 + \sum_{i=1}^{n} (2d_i + \delta - \epsilon_{F_i}) \sum_{j \in D_1(v_i)} x_j^2$$
 (4)

Now, consider

Now, consider 
$$\begin{array}{l} \sum_{i=1}^{n} (2d_i + \delta - \epsilon_{F_i}) \sum_{j \in D_1(v_i)} x_j^2 \\ = \sum_{i=1}^{n} (2d_i + \delta - \epsilon_{F_i}) x_i^2 + \sum_{i=1}^{n} (2d_i + \delta - \epsilon_{F_i}) \sum_{j \in D_1'(v_i)} x_j^2 \\ = \sum_{i=1}^{n} 2d_i x_i^2 + \sum_{i=1}^{n} \delta x_i^2 - \sum_{i=1}^{n} \epsilon_{F_i} x_i^2 + \sum_{i=1}^{n} 2d_i \sum_{j \in D_1'(v_i)} x_j^2 + \sum_{i=1}^{n} \delta \sum_{j \in D_1'(v_i)} x_j^2 \\ - \sum_{i=1}^{n} \epsilon_{F_i} \sum_{j \in D_1'(v_i)} x_j^2 \\ \leq \sum_{i=1}^{n} 2d_i x_i^2 + \delta \sum_{i=1}^{n} x_i^2 + \sum_{i=1}^{n} 2d_i \sum_{j \in D_1'(v_i)} x_j^2 + \sum_{i=1}^{n} \delta \sum_{j \in D_1'(v_i)} x_j^2 \end{array}$$

$$\leq 2\sum_{i=1}^{n} d_{i}x_{i}^{2} + \delta + 2\Delta \sum_{i=1}^{n} \sum_{j \in D'_{1}(v_{i})} x_{j}^{2} + \delta \sum_{i=1}^{n} \sum_{j \in D'_{1}(v_{i})} x_{j}^{2} +$$

$$= 2\sum_{i=1}^{n} d_i x_i^2 + \delta + 2\Delta \sum_{i=1}^{n} (n - (d_i - \epsilon_{F_i}) - 1)x_i^2 + \delta \sum_{i=1}^{n} (n - (d_i - \epsilon_{F_i}) - 1$$

$$\begin{array}{l} = 2 \sum_{i=1}^{n} d_{i} x_{i}^{2} + \delta + 2\Delta \sum_{i=1}^{n} (n - d_{i} - 1) x_{i}^{2} + 2\Delta \sum_{i=1}^{n} \epsilon_{F_{i}} x_{i}^{2} \\ + \delta \sum_{i=1}^{n} (n - d_{i} - 1) x_{i}^{2} + \delta \sum_{i=1}^{n} \epsilon_{F_{i}} x_{i}^{2} \end{array}$$

$$\leq 2 \sum_{i=1}^{n} d_i x_i^2 + \delta + 2\Delta \sum_{i=1}^{n} (n - d_i - 1) x_i^2 + 2\Delta \epsilon_F \sum_{i=1}^{n} x_i^2 \\ + \delta \sum_{i=1}^{n} (n - d_i - 1) x_i^2 + \delta \epsilon_F$$

= 
$$2\sum_{i=1}^{n} d_i x_i^2 + \delta + 2\Delta \sum_{i=1}^{n} (n - d_i - 1)x_i^2 + 2\Delta \epsilon_F + \delta \sum_{i=1}^{n} (n - d_i - 1)x_i^2 + \delta \epsilon_F$$

$$= \Delta(2\sum_{i=1}^{n} d_i x_i^2 + 2\sum_{i=1}^{n} (n - d_i - 1)x_i^2) - (2\Delta - 2)\sum_{i=1}^{n} d_i x_i^2 + \delta + 2\Delta\epsilon_F + \delta(\sum_{i=1}^{n} d_i x_i^2 + \sum_{i=1}^{n} (n - d_i - 1)x_i^2) - \delta\sum_{i=1}^{n} d_i x_i^2 + \delta\epsilon_F$$

$$+\delta(\sum_{i=1}^{n} d_{i}x_{i}^{2} + \sum_{i=1}^{n} (n - d_{i} - 1)x_{i}^{2}) - \delta\sum_{i=1}^{n} d_{i}x_{i}^{2} + \delta\epsilon_{F}$$

$$= \Delta(2n-2) - (2\Delta - 2) \sum_{i=1}^{n} d_i x_i^2 + \delta + 2\Delta \epsilon_F + \delta(n-1) - \delta \sum_{i=1}^{n} d_i x_i^2 + \delta \epsilon_F$$

$$\leq 2\Delta(n-1) - 2(\Delta-1)\delta + \delta + 2\Delta\epsilon_F + \delta(n-1) - \delta\delta + \delta\epsilon_F$$
  
=  $2\Delta(n-1) - 2\delta(\Delta-1) + \delta + \delta(n-1) - \delta^2 + 2\Delta\epsilon_F + \delta\epsilon_F$ 

$$= 2\Delta(n-1) + \delta(-2(\Delta-1) + 1 + (n-1) - \delta) + \epsilon_F(2\Delta + \delta)$$

$$= 2\Delta(n-1) + \delta(n-2(\Delta-1)-\delta) + \epsilon_F(2\Delta+\delta)$$
 (5)

In a similar fashion, we have 
$$-\sum_{i=1}^{n} 2m \sum_{j \in D_1(v_i)} x_j^2$$
 equality, 
$$\rho^2(A)x_i^2 = (\sum_{j=1}^{n} a_{ij}(a_{ij}x_j))^2. \qquad = -\sum_{i=1}^{n} 2m x_i^2 - \sum_{i=1}^{n} 2m \sum_{j \in D_1(v_i)} x_j^2$$
 
$$\leq \sum_{j=1}^{n} a_{ij}^2 \sum_{j=1}^{n} (a_{ij}x_j)^2 \qquad = -2m - 2m \sum_{i=1}^{n} \sum_{j \in D_1'(v_i)} x_j^2$$
 
$$\leq (2m - (2d_i + \delta - \epsilon_{F_i})) \sum_{j \in D(v_i)} x_j^2, \text{ by using}$$
 
$$= -2m - 2m \sum_{i=1}^{n} (n - (d_i - \epsilon_{F_i}) - 1)x_i^2$$
 
$$= -2m - 2m \sum_{i=1}^{n} (n - d_i - 1)x_i^2 - 2m \sum_{i=1}^{n} \epsilon_{F_i} x_i^2$$
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$$\leq -2m - 2m \sum_{i=1}^{n} (n - d_i - 1) x_i^2$$

$$= -2m - 2m \sum_{i=1}^{n} n x_i^2 + 2m \sum_{i=1}^{n} d_i x_i^2 + 2m \sum_{i=1}^{n} x_i^2$$

$$= -2m - 2mn + 2m \sum_{i=1}^{n} d_i x_i^2 + 2m$$

$$\leq -2mn + 2m\Delta$$

From (3),(4),(5),(6), we get,  $\rho(G)^2 \le (2mn - 4m - n\delta + \epsilon_F) + (2\Delta(n-1) + \delta(n-2))$  $(\Delta - 1) - \delta$  +  $\epsilon_F(2\Delta + \delta)$  -  $2mn + 2m\Delta$  $= -4m - n\delta + \epsilon_F + 2\Delta(n-1) + \delta(n-2(\Delta-1) - \delta) +$  $\epsilon_F(2\Delta + \delta) + 2m\Delta$  $= -4m + \epsilon_F + 2\Delta(n-1) - \delta(2(\Delta-1) + \delta) + \epsilon_F(2\Delta + \delta) + 2m\Delta$  $= -4m + 2m\Delta + 2\Delta(n-1) - \delta(2(\Delta-1)+\delta) + \epsilon_F(2\Delta+\delta+1)$ 

 $= -4m + 2m\Delta + 2n\Delta - 2\Delta - 2\delta\Delta + 2\delta - \delta^2 + \epsilon_F(2\Delta + \delta + 1)$  $= -4m + 2\Delta(m+n-\delta-1) + (2\delta-\delta^2) + \epsilon_F(2\Delta+\delta+1)$ Hence

 $\rho(G) \leq \sqrt{2\Delta(m+n-\delta-1)-4m+\delta(2-\delta)+A}$ , where  $A = \epsilon_F (2\Delta + \delta + 1).$ 

Let B be an  $n \times n$  matrix and let  $S_i(B)$  denote the  $i^th$  row sum of B, ie.,  $S_i(B) = \sum_{j=1}^n B_{ij}$ , where  $1 \le i \le m$ .

Lemma 3.2. Let G be a connected n-vertex graph and  $A_2$  its second stage adjacency matrix, with spectral radius  $\rho$ . Let P be any polynomial. If  $A_2$  is irreducible, then,

 $min_{v \in V(G)} S_v(P(A_2)) \le P(\rho) \le max_{v \in V(G)} S_v(P(A_2))$ 

Moreover, if the row sums of  $P(A_2)$  are not all equal then both inequalities are strict.

Proof: Since  $A_2$  is irreducible, the proof is just analogous to that of Lemma 2.2 in [4].

Lemma 3.3. For each fixed  $i=1,2,\ldots,n$ ,  $\begin{array}{l} S_{v_i}(A_2^2) = |D(v_i)| + \\ \sum_{i \neq j} \sum_k |\left\{v_k : d(v_k, v_i) = 2 \ and \ d(v_k, v_j) = 2\right\}| \\ \text{Proof: } ij^th \text{ entry in } b_{ij} \text{ in } A_2^2 = \sum_{i=1}^n a_{ik} a_{kj} \\ \text{Case 1. Let } i = j, \text{ then } b_{ii} = \sum_{k=1}^n a_{ik} a_{ki} \end{array}$ 

$$= |D(v_i)| \tag{7}$$

Case 2. Let  $i \neq j$ ,  $a_{ik}a_{kj} = 1$  if and only if  $a_{ik} = 1$  and  $a_{ik}a_{kj}=1$  if and only if  $d(v_k,v_i)=2$  and  $d(v_k,v_i)=2$ . Therefore

$$b_{ij} = \sum_{k} |\{v_k : d(v_k, v_i) = 2 \text{ and } d(v_k, v_j) = 2\}|$$
 (8)

$$\begin{array}{ll} S_{v_i}(A_2^2) = b_{ii} + \sum_{i \neq j} b_{ij} \\ &= |D(v_i)| + B \ where \\ B &= \sum_{i \neq j} \sum_k |\left\{v_k : d(v_k, v_i) = 2 \ \ and \ \ d(v_k, v_j) = 2\right\}|, \\ \text{using (7) and (8)}. \end{array}$$

Let G be a simple graph with n vertices and m edges. Let  $\delta = \delta(G)$  be the minimum degree of vertices of G and  $\rho(G)$ be the spectral be the spectral radius of the adjacency matrix A of G. Then in [6] it is proved that,

$$\rho(G) \le (\delta - 1 + \sqrt{(\delta + 1)^2 + 4(2m - \delta n)})/2.$$

Corresponding to the above result, we have the following theorem for the second stage matrix.

Theorem 3.4. Let G be a simple graph with n vertices and m edges. Let  $\Delta = \Delta(G)$  be maximum degree of vertices of G and  $\rho(G)$  be the spectral radius of the second stage adjacency matrix  $A_2$  of G. Then  $\rho(G) \leq (1 + \sqrt{4(n-1)\Delta})/2$ . Proof: Since  $S_{v_i}(A_2^2) =$  $|D(v_i)| + \sum_{i \neq j} \sum_{k=1}^{\infty} |\{v_k : d(v_k, v_i) = 2 \text{ and } d(v_k, v_j) = 2\}|$  $S_{v_i}(A_2)$  $\sum_{i \neq j} \sum_{k} |\{v_k : d(v_k, v_i) = 2 \text{ and } d(v_k, v_j) = 2\}|$  $\leq (n-1)\Delta$ . As this holds for every vertex  $v \in V(G)$ . Lemma 3.2 implies that  $\rho(G)^2 - \rho(G) \le$  $(n-1)\Delta$ . Solving the quadratic inequality, we obtain  $\rho(G) \leq$  $(1+\sqrt{4(n-1)\Delta})/2$ .

For a non regular graph, many upper bounds for the largest eigenvalue of adjacency matrix are found. One such upper bound is

 $\lambda_1 \leq \Delta - (1/2n(n\Delta - 1)\Delta^2$  [5]. In the following theorem we find a similar upper bound for our second stage concept.

Theorem 3.5. If G is connected and not regular, then  $\lambda_1 < \Delta - (1/4\Delta^2 n(2m - 3\delta + \epsilon_F)).$ 

Proof: Let x be a positive unit eigenvector of  $A_2(G)$ corresponding to  $\lambda_1$ . We have that  $\lambda_1 = \lambda_1 ||x||^2$ 

$$= \lambda_1 \sum_{v_i \in V} x_i^2$$
  
=  $2 \sum_{d(v_i, v_j) = 2} x_i x_j$ 

Since the maximum degree of G is  $\Delta$  and G is not regular, we have

we have 
$$\begin{split} \Delta &= \Delta \|x\|^2 > \sum_{v_i \in V} |D_i| x_i^2 \\ \text{Thus, } \Delta &= \lambda_1 > \sum_{v_i \in V} |D_i| x_i^2 - 2 \sum_{d(v_i, v_j) = 2} x_i x_j \\ &= \sum_{v_i \in V} \sum_{v_j \in D(v_i)} x_i^2 - 2 \sum_{d(v_i, v_j) = 2} x_i x_j \\ &= \sum_{d(v_i, v_j) = 2} (x_i^2 + x_j^2 - 2x_i x_j) \\ &= \sum_{d(v_i, v_j) = 2} (x_i - x_j)^2 \end{split}$$

From Cauchy-schwarz inequality and  $|D(v_i)| \leq 2m -$ 

From Cauchy-schwarz inequality and 
$$|D(v_i)| \le 2m - 2d_i - \delta + \epsilon_{F_i}$$
, it follows that  $\sum_{d(v_i,v_j)=2} (x_i - x_j)^2 \ge (1/|D(v_i)|)(\sum_{d(v_i,v_j)=2} |x_i - x_j|)^2$   $\ge (1/2m - 2d_i - \delta + \epsilon_{F_i})(\sum_{d(v_i,v_j)=2} |x_i - x_j|)^2$   $\ge (1/2m - 3\delta + \epsilon_{F_i})(\sum_{d(v_i,v_j)=2} |x_i - x_j|)^2$  Let u and v be the vertices of derived graph G such

that  $x_u = max_{v_i \in V} x_i$  and  $x_v = min_{v_i \in V} x_i$  and let u = $w_0w_1...w_k = v$  be a path between u and v in the derived graph G. Then

graph G. Then 
$$\sum_{\{v_i,v_j\}\in E} |x_i-x_j| \geq \sum_{l=0}^{k-1} x_{w_l} - x_{w_{l+1}}$$
 
$$\geq \sum_{l=0}^{k-1} (x_{w_l} - x_{w_{l+1}})$$
 
$$= x_{w_0} - x_{w_k}$$
 
$$= x_u - x_v.$$
 We have  $\Delta - \lambda_1 > (1/2m - 3\delta + \epsilon_E)(x_u - \delta_E)$ 

We have  $\Delta - \lambda_1 > (1/2m - 3\delta + \epsilon_F)(x_u - x_v)^2$ . It remains to estimate  $x_u - x_v$ . Since  $\sum_{v_i \in V} x_i^2 = 1$ , we have  $x_u \ge 1/\sqrt{n}$  and  $x_v \le 1/\sqrt{n}$ . There are three cases to consider.

Case Ia:  $x_u \ge 1/\sqrt{n} + c$ . Then  $x_v < 1/\sqrt{n}$  and  $\Delta - \lambda_1 > 1$  $(c^2/2m - \delta + \epsilon_F)$ 

Case Ib:  $x_v \leq 1/\sqrt{n} - c$ . Then  $x_u > 1/\sqrt{n}$  and again  $\Delta - \lambda_1 > (c^2/2m - \delta + \epsilon_F)$  Case II:  $1/\sqrt{n} - c < x_v < x_u < 1/\sqrt{n} + c$ . Then  $x_i \in (1/\sqrt{n} - c, 1/\sqrt{n} + c)$ . Then  $x_i \in (1/\sqrt{n} - c, 1/\sqrt{n} + c)$ .  $(1/\sqrt{n}-c,1/\sqrt{n}+c)$  holds for each  $v_i \in V$ , and by choosing  $s \in V'$  with  $d_s < \Delta' - 1$ , which is regular, we get  $\lambda_1(1/\sqrt{n}-c) < \lambda_1 x_s$ 

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$$=\sum_{\{t:\{s,t\}\in E'\}}x_t<(\Delta'-1)(1/\sqrt{n}+c)$$
 where  $\Delta'=\max D(v_i),\ i=1,2,...,n$  and  $E'$  is the edge set of  $G'$  which implies,

 $\lambda_1 < (\Delta' - 1)(1 + c\sqrt{n}/1 - c\sqrt{n})$ . In order for the expression on the RHS to be useful, it must be less than  $\Delta'$ , which is satisfied for  $c < 1/(2\Delta' - 1)\sqrt{n}$ ). Put  $c = 1/2\Delta'\sqrt{n}$  in cases Ia and Ib, we get,

$$\begin{split} \Delta - \lambda_1 &> 1/(2m - 3\delta + \epsilon_F) 4(\Delta')^2 n \\ \lambda_1 &< \Delta - (1/(2m - 3\delta + \epsilon_F) 4(\Delta')^2 n) \\ \text{While in } \lambda_1 &< (\Delta' - 1)(1 + \sqrt{n}/2\Delta'\sqrt{n}/1 - \sqrt{n}/2\Delta'\sqrt{n}) \\ &< (\Delta' - 1)(2\Delta' + 1/2\Delta' - 1) \\ &= (2\Delta^2 + \Delta' - 2\Delta' - 1/2\Delta' - 1) \\ &= \Delta' - (1/2\Delta' - 1) \\ \text{This implies } \lambda_1 &< \Delta' - (1/2\Delta' - 1) \\ &< \Delta - (1/4(\Delta')^2(2m - 3\delta + \epsilon_F)) \\ &< \Delta - (1/4(\Delta)^2 n(2m - 3\delta + \epsilon_F)) \end{split}$$

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