Assessment Methods for Surgical Skill

Siti Nor Zawani Ahmmad, Eileen Su Lee Ming, Yeong Che Fai and Fauzan Khairi bin Che Harun

Abstract—The increasingly sophisticated technologies have now been able to provide assistance for surgeons to improve surgical performance through various training programs. Equally important to learning skills is the assessment method as it determines the learning and technical proficiency of a trainee. A consistent and rigorous assessment system will ensure that trainees acquire the specific level of competency prior to certification. This paper reviews the methods currently in use for assessment of surgical skill and some modern techniques using computer-based measurements and virtual reality systems for more quantitative measurements.

Keywords—assessment, surgical skill, checklist, global rating, virtual reality

I. INTRODUCTION

THE complexity of the microsurgery techniques requires high dexterity maneuvering, and thus a lot of training from surgeons [1]. The skill level will change and vary after they go through training, and gain experience during surgical practice. A well-thought assessment system can help to identify the level of technical skill and competence among the surgeons as well as the trainees as they participate in the training program. Assessment forms the basis of education and training process. The UK Postgraduate Medical Education and Training Board defines assessment as the process to measure progress and achievement of an individual against defined standards and criteria, which often includes an experimental measurement [2]. In the educational context, the purpose of assessment is to measure the improvement of skills and knowledge from time to time, to rank the people for the selection and also can motivate them for better performance [3]. The need of early assessment is important in medical profession in order to identify trainees suitable for the profession and to prevent any potential bias happening in the operating room. Based on the outcome of assessment, then a more personalized training can be given and tailored to the actual skill level of the individual in order to achieve technical proficiency. This paper reviews some assessment methods currently in use to grade surgical skill and the potential of adding computerbased measurements to complement conventional methods.

II. METHOD OF LITERATURE SEARCH

All the source was obtained from the years 1999 through 2011 with the combination keywords such as "microsurgery technique assessment", "technical skill", "surgery", Only peer-reviewed journal articles and book chapters are

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selected. Additional source included articles cited in the references list of others articles.

III. SURGICAL SKILL ASSESSMENT

A. Traditional Assessment

In the traditional method, assessment in the operating room is done based on direct observation by expert surgeons. This assessment is extremely subjective, not criteria-based, and can be unreliable as it is affected by inter-observer variability and recall bias [4]. Besides that, the evaluators need to spend a large amount of time in order to observe the performance of technical skill in the operating room

Logbook is another method commonly used in the United Kingdom. It recorded the trainee experience but not the performance within an operating theatre. The lack of information about their proficiency cause this method to be subjective and having poor validity. Surgical assessment needs to be made more objective to provide quality and more accurate feedback during the process of surgical training.

B. The Global Rating index for Technical Skills (GRITS)

The Global Rating index for Technical Skills (GRITS) was designed based on the Global rating Scale (GRS). This assessment tool can be used in open and laparoscopic surgery. The observation by expert with the aid of fixed criteria makes the assessment more reliable, valid and feasible when the evaluation tool is implemented in the operating room during general surgery cases. Doyle et al selected 9 items to be general markers of technical skill, and their measurements are by a rating scale from 1 to 5 [4]. The system was tested with seven general surgery residents of University British Columbia from various postgraduate years (PGY) 1-6. They were evaluated by 13 teaching faculties at 4 different hospitals. The trainees' performance was for a wide variety of cases. All residents are being evaluated compared with the gold standard. The result shows that GRITS is able to distinguish between resident of different PGY levels [4]. GRITS tool is easy to use and the cost on the examiner time is minimal. It also provides helpful feedback for residents who want to enhance and develop their technical skill continuously. The drawback of the system is that although the rating scale and criteria have been well defined, expert raters may still be influenced by the halo effect and biased based on the background level of trainee. For example, the evaluator tends to avoid giving the lower end of the scale. This assessment tool can be enhanced if the observer was someone who is not the trainee's preceptor.

TABLE I
GLOBAL RATING INDEX FOR TECHNICAL SKILLS (GRITS) [4]

GLOBAL RATING INDEX FOR TECHNICAL SKILLS (GRITS) [4]				
Respect for tissue				, , , , , ,
1	2	3	4	5
Frequent	_	Careful	-	Consistently
unnecessary force		handling		handled tissue
on tissue or		tissue but		appropriately
caused damaged		occasionally		with minimal
by inappropriate		caused		damages of
use of instrument		inadvertent		tissue
use of mstrument				ussuc
		damage		
Time and motion				
1	2	3	4	5
Many	_	Efficient	•	
		time/motion		Clear economy of movement.
unnecessary				Maximum
moves		but		
		unnecessary		efficiency
		moves		
Instrument handlin	ıg a	nd knowledge		
1	2	3	4	5
Tentative/awkward	_	-	-	Fluid moves
moves or				wih instrument.
		Competent		No
inappropriate use		use of		awkwardness
		instrument		awkwaiuiiess
Flow of operation				
1	2	3	4	5
Frequently		Some forward		Obviously
stopped, seemed		planning,		planned course,
unsure of next		reasonable		effortless flow
move		progression		cirordess now
move		progression		
Knowledge of speci	ific _]	procedure		
1	2	3	4	5
Deficient		Knew all		D 4 4 1
		Kiicw aii		Demonstrated
knowledge.				familiarity with
knowledge. Required		important		familiarity with
Required		important steps of		familiarity with ll steps of
Required specific		important		familiarity with
Required specific instruction at		important steps of		familiarity with ll steps of
Required specific		important steps of		familiarity with ll steps of
Required specific instruction at	api	important steps of operation		familiarity with ll steps of
Required specific instruction at most steps.		important steps of operation	4	familiarity with Il steps of operation
Required specific instruction at most steps. Use of assistants (if		important steps of operation blicable) 3	4	familiarity with Il steps of operation
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Required specific instruction at most steps. Use of assistants (if 1 2 Consistently placed		important steps of operation blicable) 3 Appropriate use of	4	familiarity with Il steps of operation 5 Strategically used assistants
Required specific instruction at most steps. Use of assistants (if 1 2 Consistently placed assistants		important steps of operation Dlicable) 3 Appropriate use of assistants	4	familiarity with Il steps of operation 5 Strategically used assistants to best
Required specific instruction at most steps. Use of assistants (if 1 2 Consistently placed assistants poorly or failed		important steps of operation blicable) 3 Appropriate use of assistants most of the	4	familiarity with Il steps of operation 5 Strategically used assistants to best advantages to
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Required specific instruction at most steps. Use of assistants (if 1 2 Consistently placed assistants poorly or failed	2	important steps of operation blicable) 3 Appropriate use of assistants most of the time		familiarity with Il steps of operation 5 Strategically used assistants to best advantages to all time.
Required specific instruction at most steps. Use of assistants (if 1 2 Consistently placed assistants poorly or failed to use	ills	important steps of operation blicable) 3 Appropriate use of assistants most of the	4	familiarity with Il steps of operation 5 Strategically used assistants to best advantages to
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Required specific instruction at most steps. Use of assistants (if 1 2 Consistently placed assistants poorly or failed to use Communication sk 1 2 Frequent	ills	important steps of operation blicable) 3 Appropriate use of assistants most of the time 3 Appropriate communicatio		familiarity with Il steps of operation 5 Strategically used assistants to best advantages to all time. 5 Co-ordinates surgical team in
Required specific instruction at most steps. Use of assistants (if 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	ills	important steps of operation blicable) 3 Appropriate use of assistants most of the time 3 Appropriate communication with team		familiarity with Il steps of operation 5 Strategically used assistants to best advantages to all time. 5 Co-ordinates surgical team in a superior

Depth perception	n (L	aparoscopic only))	
Constantly overshoots,	2	Some overshooting	4	5 Accurately directs instruments in
swings wide, slow correction		but quick to correct		correct plane
Bimanual Dexte	rity			
Use only one hand, poor coordination between hands	2	3 Use both hands but does not optimize their interaction	4	5 Expertly uses both hands to provide optimal exposure

C. Sructured Assessment of Microsurgery Skills in the clinical Setting (SAMS)

WoanYi et al. proposed the SAMS method for clinical setting which combine three types of assessment; modified Global Rating Score (GRS), error list, and summative rating [2]. SAMS method was used to assess the performance of trainees and consultant handling fifteen clinical cases of microvascular anastomoses. All the performances were recorded on video using a digital microscope system. These recordings were viewed by three consultants independently in blinded fashion and marks were given based on the subject's performance by referring to the twelve items of modified GRS. Those items were grouped by four main areas of microsurgery skill as shown in Table 2.

The error list in the SAMS method is used to facilitate the evaluator to identify errors made by subject. Summative rating was then applied to give the summative feedback on the overall performance and to identify the level of skill attained. The result shows that the consultant had the highest scores in all the technical components of the modified GRS, in the summative scores and no errors as rated by all three assessors while the trainees had a lower score in most parameter. By using video analysis, it provides the qualitative method of assessment and performance can be quantified through the scale points. However, some microsurgery skill parameter is difficult to assess through video such as steadiness. In order to overcome this problem, the researcher suggested the use of computerized system such as hand motion analysis and virtual reality. Using sensors, these motion parameters could be more accurately measured.

D. Patient Robot

Researchers at Waseda University have proposed the development of the patient robot as an advanced evaluation tool for medical procedure as it will provide more detailed information of the task. The WKS-2RII have been designed, which consisted a personel computer, a web cam, and a dummy skin model with embedded sensors [5]. The dummy skin was made from polyurethane rubber which has almost the same sensation as human skin.

 $\label{table ii} \textbf{SAMS parameters to evaluate anastomosis performance [2]}$

Parameter	Explanation		
Dexterity	Dexterous handling of tissue is		
-steadiness	important to minimize tissue		
-instrument	damage and reduce the risk of		
handling	thrombosis. It is a basic prerequisite		
-tissue	for microsurgery.		
handling			
Visuo-spatial	Familiarization on performing		
ability	surgery under the microscope is		
-dissection	very important. Well-prepared		
-suture	vessel ends improve visualization of		
placement	the vessel walls and ensure accurate		
-knot	suture placement. The placement		
technique	and spacing of sutures require		
teeninque	visuo-spatial awareness to avoid		
	catching the back-wall and suture		
	entanglement. Knot technique and		
	tightening under the microscope is		
	done under vision rather than by		
	feel.		
Operative	This relates to the whole procedure		
flow	of completing an anastomosis		
-Step	efficiently. Knowledge and control		
-Motion	of the steps are important factors to		
-Speed	determine the operative flow. The		
	control of each movement (motion)		
	contributes to an efficient operative		
	flow of the procedure.		
Judgement	Judgment is the ability to		
-irrigation	recognize, prevent and manage		
-patency test	complication. It requires irrigation,		
-Bleeding	patency test and bleeding control.		
control	Irrigation can help distending the		
	lumen and prevent tissue		
	desiccation, but overuse can		
	increase surface tension causing		
	suture adherence. A patency test		
	needs to be done delicately to avoid		
	injury to the intima. Adequately		
	placed sutures through judgment		
	can avoid anastomosis leak and so		
	control bleeding.		

The embedded sensors are used to collect information about the movement of the skin when a trainee performs the suture/ligature task. The performance of the trainee is recorded using the web cam. They also proposed the suture image-processing algorithm in order to evaluate the physical properties of the suture after finishing the task. For the assessment, six parameters were evaluated as shown in Table 3, by considering the objective structured clinical examination (OSCE) examination's checklist.

TABLE III
OSCE PARAMETERS TO EVALUATE SUTURING [5]

OSCE PARAMETERS TO EVALUATE SUTURING [5]		
Parameter	Explanation	
Time (T)	Conventionally, the time is measured	
	from start, up to the completion of the	
	task. Less time is required for the surgeon	
	to obtain a better performance (with	
	precise movements achieved).	
Force in	Insertion of needles can damage the skin	
Tissue (FoT)	if the trainee applies shear force. It will be	
	less damaging if insertion is done along	
	the trajectory of needle curve. In the	
	WKS-2RII, the <i>Force in Tissue</i> parameter	
	is determined by measuring the sideway	
	movements of dummy skin.	
Judging	Judging Tension parameter is determined	
Tension	by measuring the deformation of dummy	
(JuT)	skin after ligature. It might be painful for	
	the patient if the ligature is done too	
	tightly and also can cause infection	
	around the wound area if not properly	
	done.	
Equidustance	The suture width is measured between the	
(EqD)	needle insertion point and the wound	
	edge. This parameter is determined by	
	identifying the location of each suture and	
	computing its width.	
Distance	The suture distance is measured from one	
between	suture to another applied along the	
Suture (DbS)	wounded area. It is determined by	
	identifying the center of mass of each	
	suture and computing the distance	
	between sutures.	
Wound	This parameter is quantified by	
Dehiscence	measuring the open wound area after	
(WoD)	suturing. Smaller open area of the wound	
	indicates better performance.	

By using discriminant analysis method, the weighting coefficient of each parameter can be determined and implemented through the experiment. The experimental data was collected from surgeons, medical student and unskilled person.

Result shows that the proposed parameter can differentiate between different levels of expertise. This system can be used as an educational tool where an unskilled person can be trained and assessed. Possible risks on patients can be reduced due to the use of non-living model.

E. Hand Motion Analysis



Fig. 1Electromagnetic trackers placed on backs of mi crosurgeons' hands allowed positional data to be recorded and analyzed by computer software while subject performed a standardized microsurgical task [9]



Fig. 2 Hand-motion analysis was recorded using Imperial College surgical assessment device (ICSAD) [9]

The Imperial College Surgical Assessment Device is a dexterity-based motion analysis device developed by the Department of Surgical Oncology and Technology by the Surgical Computing and Imaging Research Group [6]. The technology using electromagnetic tracking system (Isotrak II; Polhemus Inc, Colchester, Vt) is connected to a portable computer through a standard RS-232 (serial) port, independent motion acquisition software, and custom-made analysis software to track the surgeon's hand motion when performing a surgical task [6]. Two sensors are attached on the dorsal surface of the surgeon's hand as shown in Fig. 1. The positional data from trackers are recorded and analyzed in order to determine the number of hand movements, hand travel distance, direction, acceleration changes as well as the time taken to complete the task [7],[8]. This device has been shown to be a valid quantitative measure of dexterity in laparoscopic and open surgical simulation. Grober et al used this hand motion analysis as an objective measures of microsurgical performance [9]. The overall system is shown in Fig. 2. They asked the subjects to complete the several baseline microsurgical was assessed by expert using global

TABLE IV SUMMARY OF MOMS TASKS [10]

Station	Explanation	Method
Operative	This station tested the	Examination
equipment	knowledge of the subject. It	
and	involved instrument and	
instrument	operating theatre equipment	
	where the subject had to	
	select the most appropriate	
	tool based on the task given.	
	They answered questions	
	related to the tool.	
Knot tying	The subject tied suture knot	Motion
	based on the task. Two	analysis
	parameters were analysed:	
	number of hand movement	
	made and time taken to	
	complete task.	
Suturing	Two standardized exercises	Motion
	of suturing were involved:	analysis
	-five simple interrupted	
	suture with 4 single	
	instrument throws;	
	-five interrupted vertical	
	mattress sutures with 4	
	single instrument throws.	
	Time taken to complete task and number of movements	
	made were measured.	
Closure of	This involved the closure of	
enterotomy	a 2-cm small bowel	
enterotomy	enterotomy using 2 stay	Objective
	sutures and interrupted	Structured
	seromuscular sutures as	Assessment
	advocated on the Basic	of Technical
	Surgical Skills Course.	Skills
	Performance was recorded	(OSATS)
	using camera and reviewed	
	by three expert surgeons.	IV.
Excision of	This required the excision	OSATS
sebaceous	of a synthetic sebaceous	
cyst	cyst which consisted of a	
	multilayered foam pad	
	surrounding a polymer	
	capsule containing a yellow	
	oily liquid. It has the	
	potential to burst if incised.	
	Recording of performance was reviewed by expert	
	surgeon.	
Laparoscopic	Two tasks were carried out:	MIST-VR
task	- Single handed task	simulator
tusk	(Grasping an object and	Simulator
	placing at wire frame	
	cage)	
	- Traversal (2-handed task)	
	. , , , , , , , , , , , , , , , , , , ,	

rating scale which consists six parameters and each will grade the performance on a five point scale. After the training, hand motion analysis scores improved significantly [9]. The study shows that hand motion analysis is an objective, valid, and sensitive method for assessing technical skill where potential examiner bias can be eliminated. This system can be adapted to a variety of procedure and the presence of expert examiner is not necessarily required. However, the use of electromagnetic tracker on dorsal hand may interfere surgeons' movement. One device may only access one candidate; therefore to complete an assessment on a class of participants will involve more hardware costs.

A. Multiple Objective Measures of Surgery (MOMS)

Bann conducted as study to validate the multiple objective measures of surgery (MOMS) method. MOMS was designed to assess the basic level of surgical skill among the trainees objectively using combination of six-bench top station [10]. Various assessment criteria were included, such as motion analysis, observation with criteria and inbuilt simulation metric. Two different levels of surgical trainees were tested; basic surgical trainees (BSTs) and higher surgical trainees (HSTs) who had at least two years' experience at this level. The summary of the tasks is shown in Table 4. Results of MOMS show that the assessment is reliable and valid, and can be used for the assessment of basic technical skill.

B. Virtual Reality

Virtual reality (VR) is defined as a collection of technologies that allow people to interact efficiently with three dimensional (3D) computerized database techniques in real time simulation using the natural sense and skills. The process involves graphic computer which provide a 3D graphic images. Virtual reality technology is slowly gaining acceptance by a surgeons for training purposes. Several VR simulators have been developed to train and assess surgical skills. Ziegler et al developed an arthroscopy training simulator by applying computer graphics system and virtual reality technique [11]. The 3D interaction of the system simulates a real arthroscopy case. A tracking system is used in the arthroscopy simulator in order to get the position and orientation of the tibia and the instrument. It consists of three tracker units, one for the transmitter which is located underneath the femur, one on the arthroscope, and another one is in tibia. Fig. 3 Hardware configuration of the VR arthroscopy simulator

shows the hardware configuration of the VR arthroscopy simulator. The prototype of this simulator have been successfully presented, tested and assessed during arthroscopy training course at the "Frankfurt Sport Medicine Weekend". The Minimally Invasive Surgical Trainer (MIST) developed in the UK was the first VR simulator used as an assessment tool. In the study by Chaudhry et al, MIST VR, a PC-based laparoscopic cholecystomy simulator provides objective assessment of psychomotor skills based on errors made and time taken for six different tasks [12]. It gives an overall score for performance which is shown to be able to distinguish between experienced and non-experienced surgeon.

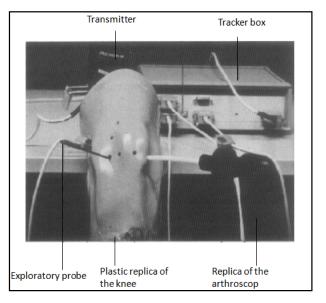


Fig. 3 Hardware configuration of the VR arthroscopy simulator

Simbionix Uromentor endoscopic simulator is a VR device used for practising the basic endoscopic skill for novice endoscopists, shown as Fig.4. By using uromentor program, the number of parameter such as total procedure time, time to insert guidewire, incidence of mucosa trauma from the instruments, number of perforations and fragmentation time can be assessed. This assessment was evaluated by two experienced surgeon using global rating scale. The study led by Wilhelm showed that students who trained on the VR simulator gained improvement in endoscopic skills. This training allows a student to familiarize with instrumentation and also can enhance educational experience among the students [13].

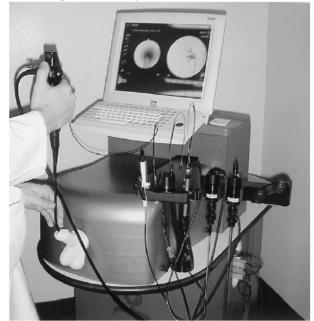


Fig. 4 Simbionix Uromentor Virtual Reality Simulator

The GI Mentor II (Simbionix Ltd, Cleveland, OH, USA) consisted of mannequin with body orifices for upper/lower endoscopy, a Pentax ECS-3840F endoscope, guide wire devices and foot pedals. The metric of performance included time to complete the task, efficiency and several observational parameters such as percentages of pathology seen. All the parameters were calculated and registered by the computer system. Significant differences were seen for all metrics between the novice and experienced groups. These results showed that the simulator can differentiate between subject with different level of endoscopic skill (experienced and novices). Experienced subjects were faster, having higher percentage of the mucosa, had fewer 'red-out' and caused less discomfort for virtual patient compared to novices [14].

The da Vinci Trainer is a virtual reality simulator based on Mimic Technologies' Mantis Duo platform, a 2-handed haptic system that fits on a tabletop and shares a common lineage with the University of Washington transurethral resection of the prostate simulator [15]. Several simulation modules are available in this system such as camera movement, targeting, robotic arm movement, object manipulation and suturing. It can be used to train and assess surgeons in the field of laparoscopic surgery. Kenney et al asked novice and experienced surgeon to complete two modules namely the EndoWrist module ("Pick and Place" and "Peg Board") and needle driving module ("Dots and Number" and "Suture Sponge") as shown in Fig.5. Then, performance of the subjects was measured using a computerized built-in scoring algorithm. The percentages of the total score was derived from the combination of the selected variable such as total task time, maximal force and strain, total instrument motion, number of instrument collision, time instrument were out in view, number of target attempted successfully and not attempted. The result shows that, this simulator was able to differentiate between novice and expert robotics surgeon [15].

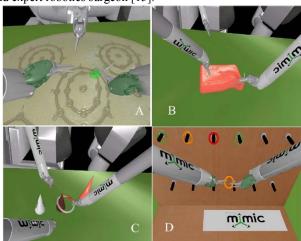


Fig. 5 Screen images from 4 tested modules: (A) Dots and Numbers, (B) Suture Sponge, (C) Pick and Place, and (D) Peg Board [15]

V. CONCLUSION

The variety of assessment methods, be it visual measurements computerized combination, show some level of validity in differentiating experienced surgeon from novices. These assessments provide helpful feedback for residents going through technical skill development. Some important points to be considered for a successful assessment include validity, reliability and feasibility. Assessment is a crucial step in the learning process; therefore an accurate and comprehensive assessment of the technical skills will facilitate skill acquisition, identify the requisite attributes in trainees and test essential medical knowledge prior to certification. Much work is still being done to improve surgical skill assessment, by using sensors and mathematical algorithms besides expert rating, to make it fair, robust and comprehensive for various surgical applications.

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