IT/IS Outsourcing Relationship Factors in Higher Education Institution: Behavioral Dimensions from Client Perspectives

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Abstract—Higher education institutions are increasingly opting to outsourcing methods in order to sustain themselves and this creates a gap of literature in terms of how they perceive the relationship. This research paper attempts to identify the behavioral and psychological factors that exist in the engagement thus providing valuable information to practicing and potential clients, and vendors. The determinants were gathered from previous literatures and analyzed to formulate the factors. This study adopts the case study and survey approaches in which interviews and questionnaires are deployed on employees of IT-related department in a Malaysian higher education institution.

Keywords—Higher education institution, information technology, outsourcing, relationship.

I. INTRODUCTION

THE demography within an organization took an evolutionary change since the introduction of outsourcing concept to industries. It rewrote traditional way of conducting a business in which all the activities were carried out internally to the idea of a network organization or even a virtual organization, in which fewer and fewer operations were performed within the firm [16]. IT outsourcing is also growing at a rapid rate throughout North America, Europe and increasingly across Asian economies [3].

IT outsourcing was originated from the professional services and facility management services in the financial and operation support areas during the 1960s and 1970s. Today's IT outsourcing frequently involves a much greater range and depth of services than in the past, with an increasing number of IT functions being transferred to IT service providers. According to [17] and [40] IT outsourcing is a form of privatization that generally refers to a higher education institution's decision to contract with an external organization to provide an IT traditional campus function or service.

Yet, authors from [25] claimed that outsourcing is very

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much different from privatization although they both have common characteristics. Firstly, the outsourcing engagements for goods and services are not forever as it is often lined in the contract agreement the period after which the contract ceases while privatization is generally termed as once-and-for-all sale of state owned assets. Secondly, outsourcing does not necessarily involve the transfer of physical assets while privatization does. Outsourcing usually involves the procurement of a specific service. Thus, we took the liberty to redefine IT outsourcing as a form of agreement that generally refers to a higher education institution's decision to contract with an external organization to provide an IT-related campus functions or services.

A. Background of Research

The Information Technology (IT) function refers to the various hardware, software, networking and data management components necessary for a system to operate. Meanwhile the term Information System (IS) could be described as any organized combination of people, hardware, software, communication networks, data resources, and policies and procedures that stores, retrieves, transforms and disseminates information and functions in an organization [39]. As more firms look to outsource their business components, the need for a more dynamic approach towards managing outsourcing relationship is inevitable. Relationship management is important especially for firms or institution vying for long-term affiliation.

Most of the Malaysian higher education institutions outsource the following IT/IS elements:

- i) Wired/wireless network
- ii) Portal/website
- iii) Internet/intranet security
- iv) E-mail Services (Staff and Students)
- v) Database/legacy system
- vi) System Application
- vii) E-Learning

B. Research Problem Statements

Information technology (IT) outsourcing has become more pervasive, the need to manage IT outsourcing relationships on a long-term basis has become more important [33]. As indicated by [4], successful relationship management is still a

critical issue in which both practitioners and academicians search for instrument to measure relationship quality.

A survey by [24] showed that many clients considered switching vendors due to various causes such as lack of satisfaction with the performance of the vendor, conflicts based on typical agency problems, or newly emerging conditions and needs. Switching vendors, especially during the course of contract execution, involves a lot of transition costs including early termination costs, switching costs, redeployment costs and relocation costs [33]. Due to the growth of criticality and risks of large outsourcing transactions, both outsourcing service providers and their respective clients need to understand what makes IT outsourcing successful [10], thus it can be more beneficial for companies to nurture and maintain long-term high-quality relationships with their current vendors through persistent contract renewal. Clear understanding of these crucial factors would enable wise decision making when it comes to creating as well as continuing an outsourcing partnership.

Reference [49] also argued that inadequate service by contractors can affect the campus community in myriad ways such as uncomfortable teaching facilities and lack of skilled technical staff to manage network. This could slowly affect the core areas of the institution itself due to inefficiency. Another research by [31] states that there is lack of skill within public organizations to manage and monitor outsourced functions. This research could provide some insights on the reality of this statement in the Malaysian higher education context.

Another study also showed most organizations do not gain the advantages they expect from their outsourcing engagements [3]. They also argued that IT outsourcing has not brought the benefits to the organization in contrast to its purpose thus there is a need to properly manage the determinants influencing successful ΙT outsourcing engagement. Only few researchers have focused on the issue of relationship duration as a client can minimize the transition costs only through a longer term relationship with a single vendor [33]. There also a very limited amount of data or statistics about outsourcing in higher education and the need for such data have been recognized as more institutions turn to outsourcing [19].

A senior IT manager from a Malaysian higher education institution claimed that apart from these problems there are other complications which arise after a contract is signed such as insufficient after sale services. Yet the more serious setback is vendors pulling out of contract due to inability to fulfill the contractual agreements especially in periods of fluctuating and volatile economy.

There are currently limited researches done on IT outsourcing in Malaysia and far fewer researches could be found on the factors of IT/IS outsourcing especially ones delving into the perspective of higher education institution as

Thus, in this study, the researchers try to identify several important factors from client perspectives that may have an impact on the duration of IT outsourcing relationships. It would provide some clarification and insights into how a psychological contract is depicted by an institution. Thus, this research serves as an expansion of IT outsourcing literature in the area of governance of outsourcing engagements associated with the duration of outsourcing relationships.

C. Significance of Research

With all the above limitations, we believe that our study makes significant contributions to research and practice.

- 1. Managerial perspective
- a) Improve partnership cohesiveness as both sides would understand each others' needs and vision especially for long term commitment.
- b) Companies can enjoy significant benefits from making right choices in terms of renewing, continuing, or terminating their contracts with their current service providers and guidance to them in this area will be tremendously helpful.
- 2. Practitioner perspective
- a) Serve as preparatory guide for future planning for outsourcing.
- b) Better understanding of critical factors to ensure successful partnership.
- 3. Theoretical Contribution
- a) Clarification and rearrangement of the available constructs: complex constructs from the relationship literature, like communication, are delineated in the proposed model (formal vs. informal as well as quantitative vs. qualitative aspects of communication)
- b) Identification of new constructs (e.g. complexity and specificity of the outsourcing object will influence the relationship quality)

II. LITERATURE REVIEW

A. Concepts of IT/IS Outsourcing

Various IT researchers and academic scholars came up with diverse definitions of IT/IS outsourcing in their works. Reference [7] defined it as the organizational arrangement instituted for obtaining IS services and the management of resources and activities required for producing these services. Another study by [50] stated that IT outsourcing involves transferring IT assets, leases, staff and management responsibility for delivery of services from internal IT functions to third-party vendors. In line with [12] work, [28] defined outsourcing as the contracting of various IS functions such as managing of data centers, operations, hardware support, software maintenance, network and even application development to outside service providers.

B. Elements of IT/IS Outsourcing

IT outsourcing relationships it is often a requirement in the engagement that a relatively intimate relationship should be established between the outsourcing company and the outsourcing vendor [27]. Reference [4] stressed that

successful relationships management is still a critical issue to both practitioners and academics and its further highlighted by [18] that managing a successful IT outsourcing is both a demanding and rewarding activity. The latter also stated that moving toward a matured relationship should be the goal of a relationship. IT outsourcing relationships can be separated into four different engagements as identified by [35]:

- i. Single vendor single client typically for simple functions or update of some information system components;
- ii. Single vendor multiple clients is usually to application development when a single vendor assure the implementation and maintenance for multiple business with comparable features;
- iii. Multiple vendors single client can be found at the large companies which outsource entire information system development and maintenance to the different provider; and
- iv. Multiple vendors multiple clients can be seen on ebusiness context where multiple companies on the value chain co-operate. In this context, each business could contract one or more partners from value chain to outsourcing IT.

According to a Chief Information Officer from a Malaysian institution of higher education, there are several criterions that they evaluate in choosing an outsourcing vendor. They are listed as follows:

- i) Product Brand reputation
- ii) Quality of offered services
- iii) Vendor outsourcing experience
- iv) After sales services
- v) Price

A successful relationship requires a combination of softbased and hard-based relationship management [13]. According to [36], the building and structuring of the IT outsourcing relationship is constructed around two main elements:

- i. The formal contract that specifies the task requirements and obligations of each party in written form; and
- ii. The behavioral and psychological contract that is based on the parties' mutual beliefs and attitudes.

Reference [26] identified the process cycle in higher education institutions based on a survey done by EDUCAUSE Centre for Applied Research (ECAR) which separates into 5 different processes as shown in Figure 1 in Appendix A and its details are summarized in Table I.

TABLE I
SUMMARY OF IT OUTSOURCING PROCESS IN HIGHER EDUCATION

Phase	Processes
1) IT Problem	Determine the IT functions which can be
Identification and	managed more efficiently through
Evaluation	outsourcing and performing risk and
	benefit assessment on it.
2) Consensus	Reduce resistance towards the

Building	outsourcing decision by building
	consensus with all affected by it and
	including them in the process. Study on
	state and local policies regarding
	outsourcing.
3) Planning and	Plan implementation schedule by
Vendor Selection	evaluating operation timing and duration.
	Select vendor carefully by ensuring that
	their capabilities and experience meets
	institution needs.
4) Implementation	Prepare the Service Level Agreement
	(SLA) along with the contract.
	Responsibilities of both parties should be
	clearly identified and understood by both
	sides.
5) Post-	Progressively evaluate the outsourcing
Implementation	execution and realign according to
Assessment	contract specification should the
	implementation deviates from its target.

C. Implications of IT/IS Outsourcing Towards Public Higher Education Institution

TABLE II
IMPLICATIONS OF IT OUTSOURCING

Implications of IT/IS Outcoursing	Author(s)
Implications of IT/IS Outsourcing	
Enabling existing staff to concentrate on core	[29], [42],
activities on organizational specializations,	[22]
focusing on achieving key strategic objectives,	
lowering or stabilizing overhead costs, and	
thereby gaining cost advantage over the	
competition, providing flexibility in response to	
changing market conditions, and reducing	
investment in high technology.	
Enables members of client organization to learn	[32], [22]
or acquire the needed knowledge from the other	
organization.	
Decrease the product or process design cycle	[51]
time, if the client uses multiple best-in-class	
suppliers, who work simultaneously on	
individual components of the system, as each	
supplier can contribute greater depth and	
sophisticated knowledge in specialized areas	
and thus offer higher quality inputs than any	
individual supplier or client.	
Added advantage from the entrepreneurial or	[42]
technological developments of the service	
provider.	
Noticeable increase in the number of IT	[35]
functions that are now outsourced over the past	
few years, giving clients the option of selecting	
from the masses	
Outsourcing non-core processes allow firms to	[14], [19]
increase managerial attention and resource	1, 1, 1
allocation to those tasks that it does best, the	
core business.	
The increased demands of students in a	[19]
technological age have outstripped the ability	
of universities to keep up. Thus, by outsourcing	

key functions such as IT, institutions are able to create cost savings while also providing improved service.

D. Factors Affecting IT/IS Outsourcing Relationship

Elements of a Formal IT/IS Contract: According to [3] when a decision is made to outsource, both the client and vendor were usually eager to quickly get the relationship underway. Nonetheless, some circumstances like changes in business direction, changes in economic situation and the introduction of new technologies throughout the outsourcing period may have caused difficulties in managing the contractual relationships [3]. Consequently, outsourcing contracts will need to be properly outlined to address any disputes which may arise. Researchers from [2] argued that detailed contracts have high rates of success and there is also need to continuously anticipate future needs into the contract.

Service contracts such as IT outsourcing contracts mostly involve Service Level Agreements (SLA). SLA is defined as a formal written agreement developed together between client and vendor that specify a product or service to be provided at an agreed level so as to meet business objectives. Once the overall outsourcing deal is negotiated by lawyers and in place, SLA is added as an addendum to the overall contract that contained the project-specific terms and conditions negotiated by outsourcing managers from client and vendor firms in order to provide a specific way to manage outsourcing relationships [37]. Thus, in order for both parties to benefit from their (SLA), the negotiations should establish a common understanding about the level of services, responsibilities and guarantees involved.

Elements of an Informal Behavioral and Psychological Contract: [15] classified an outsourcing relationship as an ongoing linkage between an outsourcing service provider and customer or client organization that has a long-term orientation and a mutual recognition. The benefits attained by each firm are at least in part depended on the other firm. The ultimate goal of any relationship is to be successful as it translates to an effective relationship in which both participants gain benefit. Relationship has often been noted as a major feature of IT outsourcing [17]. Partnership can reduce the risk of inadequate contractual provision, which may be comforting for clients about to outsource a complex and high cost activity such as IT [17]. Reference [7] indicated in their taxonomical research of the need for further research into how the client and vendor interact with each other within a relationship.

To understand this concept of behavioral and psychological factors, [34] developed three differing theoretically supported models which were built upon their previous venture in 1999 in which they researched a model based on partnership quality. They found the model based on behavioral-attitudinal theory as the most effective after obtaining data from 225 senior IS executives of Korean firms. Figure 2 in Appendix A illustrates the developed model.

Authors of [13], after reviewing five outsourcing relationship models, proposed their own extended outsourcing relationship model to provide an improved understanding of the factors affecting the success of an outsourcing agreement. They studied both from the perspective of client as well as the vendor of a relationship but it was a case study in which only a singular Australian client and vendor was interviewed separately. Although the results from this research were valid, it was limited to only one outsourcing relationship. Thus, their findings could not be generalized in other domains. Figure 3 in Appendix B demonstrates the extended model created. Thus, after identifying the different factors of behavioral and psychological contract elements from various authors, the following table was created.

TABLE III

DETERMINANTS OF IT OUTSOURCING RELATIONSHIP FROM CLIENT
PERSPECTIVE

Determinants	Author(s)
Mutual understanding	[4], [21], [16], [3], [28]
Communication quality	[4], [20], [3], [13]
Mutual Trust	[4], [32], [21], [20], [36],
	[3], [28], [46], [34]
Commitment	[4], [20], [28], [34]
Conflict	[4]
Flexibility	[4], [21]
Cultural compatibility	[4], [3], [13]
Forbearance	[4]
Informal communication	[4]
Knowledge sharing	[4], [20], [28], [13]
Regular communication	[21]
Cooperation	[21], [20]
Stewardship behavior	[18]
Reliability	[10], [28]
Consensus	[15]
Assurance	[10]
Dependence	[20], [34], [13]
Collaborative participation	[20], [13]
Management support	[3], [6], [36], [13]

III. THEORETICAL FRAMEWORK

A. Proposed Conceptual Model

The proposed conceptual model is built from the determinants identified in TABLE III. Cross-reference of individual determinants with their respective authors were also tabulated in Appendix C.

TABLE IV
WORKING DEFINITION OF THE DETERMINANTS

Determinants	Definitions	Related				
Determinants	Definitions	Reference				
	The formal and informal sharing					
Communication	of information or meaning	[47]				
Communication	between IS outsourcing service	[47]				
	receiver and vendor					
Communication	Status of the efficiency and	[4]				

quality	effectiveness of information				
7,	exchange between partners.				
	That which remains when rules				
Informal	and hierarchies, as ways of				
communication	coordinating activities, are	[4]			
Communication	eliminated and people meet out of				
	role.				
	The extent to which both parties				
Regular	habitually communicate with				
communication	each other with regards to the IT	[21]			
	outsourcing activities and				
	processes.				
	The willingness of the parties to				
Commitment	exert effort and devote resources	[15]			
	in order to sustain an ongoing relationship.				
	The extent of how the vendor				
	positively responds to issues that				
Reliability	continuously emerge throughout	[10]			
	the engagement.				
	Behavior of one party in which it				
	acts positively towards the				
Assurance	relationship to give confidence to	[10]			
	the other in regards of its	[,			
	services.				
	The extent of general agreement				
C	between the parties. Effective	[15]			
Consensus	consensus requires consensus on	[15]			
	multiple levels.				
	The expectations that a party will				
	act predictably, fulfill its				
Trust	obligations, and behave fairly	[15]			
	even when the possibility for				
	opportunism is present.				
	Belief of a firm that the partner				
	firm will perform acts that will				
Mutual trust	result in positive outcomes for the	[4]			
	firm and not take unexpected				
	actions that would result in				
	negative outcomes for the firm.				
Mutual	Degree of understanding of behaviors, goals, and policies	[4]			
understanding	between partners.	[+]			
	Represents the overall level of				
Conflict	disagreement in the working	[4]			
=	partnership.	r.1			
	Bilateral expectation of				
Flexibility	willingness to make adaptations	[4]			
	as circumstances change.				
	The extent to which the partners				
	can influence each other as well				
	as the extent to which they				
Dependence	depend on each other. It develops	[34]			
	through both partners perceiving				
	mutual benefits from their				
	interaction.				
Cultural	Closeness of behavior patterns,				
compatibility	values and norms within a	[4]			
Companionity	partnership.				
Forbearance	Forgoing of certain behaviors that	[4]			
1 orocardiice	are not in the best interest of both	[٦]			

Cooperation	Undertaking complimentary activities to achieve mutual benefits.	[15]
Knowledge sharing	The extent to which critical information is communicated between the partners. Closer relationships should result in more frequent and more relevant information exchanges	[34]
Stewardship behavior	The help and guidance given by vendors of the relationship to its client especially in newly formed relationship in regards of the IT outsourcing activities.	[18]
Collaborative participation	The level of involvement of both partners in the outsourcing relationship. Active participation of the partnership members plays a major part in enhancing the long term sustainability of the partnership.	[13]
Top management support	How well executives from each partner understand and support the partnership.	[13]

In the proposed model, the determinants are divided into five dominant categories identified in previous literatures; communication, commitment, trust, flexibility and cooperation. These categorizations are mainly influenced by findings of [13], [15] and [34].

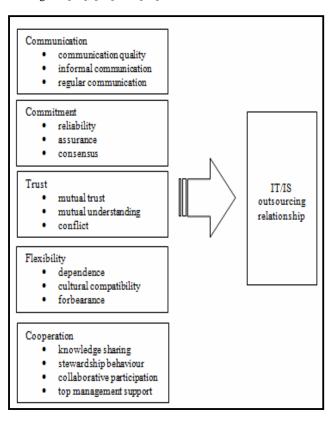


Fig. 4 Proposed conceptual model for IT/IS outsourcing relationship factors in higher education institution

other related researches including from the vendors perspectives.

IV. CONCLUSION

A. Discussion

The discussions in this paper are part of an ongoing research by the researchers. This paper has highlighted the importance and impact of conducting the said research as well as identified the behavioral and psychological relationship factors existing within the higher education institution outsourcing framework which is beyond the boundaries of a traditional contract agreement between the client and their vendor. This paper also lays out the foundation for subsequent phase of the research. The continuing research will extend the work of this conceptual paper to investigate in depth the dimensions as well as effectively helping open up avenues for

APPENDIX A

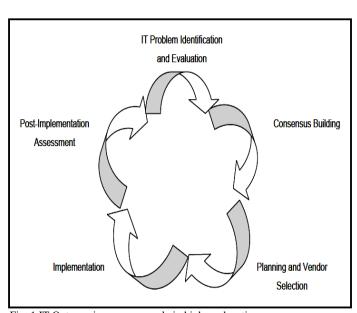


Fig. 1 IT Outsourcing process cycle in higher education

Source: Kancheva (2003)

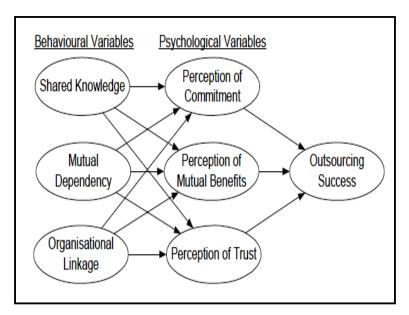


Fig. 2 Model based on behavioral-attitudinal theory Source: Lee and Kim (2005)

APPENDIX B

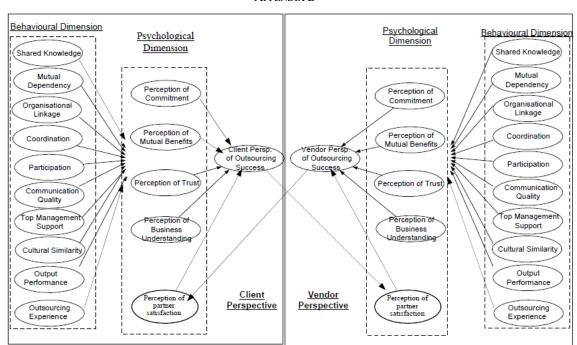


Fig. 3 Extended outsourcing relationship model Source: Fleming and Low (2007)

APPENDIX C

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		Sun et al. (2002)	>		>		>	>			^								^				
		Söderström and Lennerholt (2006)	>									1											
		Rajabzadeh et al. (2008)	>	>																			
		Nguyen et al. (2006)	>						>		>	^				>							
	•	Nam and Goo (7002)					>				>												
	•	Mohd Adam Suhaimi et al. (7002)		>																			
		Lee and Kim (2005)									1	1	1		^				1			>	
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ION INSTI	es	Husnayati Hussin et al. (2006)					>	>			>				>	>							
EDUCAT	References	Hues (2007)	>					>														>	
DETERMINANTS OF IT OUTSOURCING IN HIGHER EDUCATION INSTITUTION	Re	Наскпеу (2000)	>		>							1	1									>	
URCING II		Han et al. (2007)				>	>				>				>							>	
IT OUTSO		Gottshalk and Solli-Sæther (2006)																		^			
VANTS OF		Gonzalez et al. (2005)		>	>																		
DETERMIN		Goles and Chin (2005)	>		>		>		>		>		^				>					>	
		Fleming and Low (2007)		>	>	>			>			^			>				>			>	
	_	Dahlberg and Nyrhinen (2006)														>		>					
		Cong and Chau (2007)										^			>								
		Blumenberg et al. (2008)			>	>	^	>	>	^	^		<i>^</i>	<i>></i>			^						
		Birks et al. (2007)	>	>																			
		Determinants	Regular Communication	Top Management Support	Mutual Understanding	Communication Quality	Trust	Conflict	Cultural Compatibility	Forbearance	Commitment	Mutual Trust	Flexibility	Informal Communication	Knowledge Sharing	Reliability	Consensus	Assurance	Dependence	Stewardship	Behavior	Collaborative	participation

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