

# Supervisor Controller-Based Colored Petri Nets for Deadlock Control and Machine Failures in Automated Manufacturing Systems

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**Abstract**—This paper develops a robust deadlock control technique for shared and unreliable resources in automated manufacturing systems (AMSs) based on structural analysis and colored Petri nets, which consists of three steps. The first step involves using strict minimal siphon control to create a live (deadlock-free) system that does not consider resource failure. The second step uses an approach based on colored Petri net, in which all monitors designed in the first step are merged into a single monitor. The third step addresses the deadlock control problems caused by resource failures. For all resource failures in the Petri net model a common recovery subnet based on colored Petri net is proposed. The common recovery subnet is added to the obtained system at the second step to make the system reliable. The proposed approach is evaluated using an AMS from the literature. The results show that the proposed approach can be applied to an unreliable complex Petri net model, has a simpler structure and less computational complexity, and can obtain one common recovery subnet to model all resource failures.

**Keywords**—Automated manufacturing system, colored Petri net, deadlock, siphon.

## I. INTRODUCTION

**A**N automated manufacturing system (AMS) is a collection of buffers, machines, robots, fixtures, and automated tools. There are different products types entering AMS at separate points in time; the system has the ability to handle these products according to the specific sequence of processes and resources sharing. The resource sharing causes deadlocks, in which the local or global system is disabled. Therefore, in order to prevent deadlock in AMS, an effective deadlock control algorithm is needed.

Petri nets are graphical and mathematical tools that are convenient for modeling, analysis, and control of deadlocks in AMSs [1]. It is utilized to represent the characteristics and behaviors of AMS, such as confliction, synchronization, and sequencing. Moreover, Petri nets can be applied to provide the behavioral characteristics such as boundedness and liveness [2]. In order to solve the deadlock issue in AMSs, several approaches based on Petri nets are proposed in the literature. These methods have been classified into three strategies:

deadlock detection and recovery, deadlock avoidance, and deadlock prevention [2]. In addition, three criteria were proposed for evaluating and designing a supervisor for AMS control, namely, computational complexity, structural complexity, and behavioral permissiveness [2]. Therefore, deadlock prevention policies are the objectives of many researchers and can provide liveness-enforcing supervisors with the mentioned criteria [2]. The deadlock control techniques available in the literature were developed for AMSs with reliable and unreliable resources.

For an AMS with reliable resources, there are two techniques used to prevent deadlock involving the use of Petri nets: reachability graph analysis [3]-[5] and structural analysis [1], [6]. The reachability graph analysis requires listing all or part of the reachable markings; hence, it suffers from a state explosion problem. The reachability graph can be classified into two parts: the live zone (LZ) and the deadlock zone (DZ). First-met bad markings (FBMs) are defined and extracted from the DZ. In this case, deadlocks are eliminated by designing and adding monitors to prevent FBMs from being reached. This process requires iterations to identify all FBMs [7].

Various approaches have been introduced to prohibit deadlock situations, which are the siphon control and theory of region based approaches [6]-[13]. Several deadlock control methods and fault detection have been developed for different classes of Petri nets under unreliable resources [14]-[20].

From the literature, we observed that deadlock control strategies based on siphons may be applied by adding the monitors and the related arcs to the initial net, such that its siphons are permanently marked. The major drawback of the existing policies is that several monitors and related arcs are placed into the initial Petri net model, leading to increase the Petri net model supervisor's complexity compared with the initial Petri net model. In addition, for unreliable resources, recovery subnets are proposed to model resource failures and recoveries and applied for each unreliable resource, resulting in high structural complexity of the initial model. However, there is a need to propose a supervisor to address all the unreliable resources in a system. This supervisor does not require introducing inhibitor arcs or enumerating of reachability graphs and leads to low computational overheads. Therefore, an integrated strategy is required to minimize the structural complexity of the Petri net supervisors for AMS. The purpose of this paper is to develop an integrated deadlock control strategy. The first step is to use the strict minimal

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siphon control to derive a controlled system that does not consider the failure of resources [21]. The second step is a colored Petri net based on approach, in which all monitors designed in the first step are merged into a single monitor. In the third step, global recovery subnet is designed to model all resource failures based on colored Petri nets, and recovery is applied to the obtained system by the second step to ensure the reliability of the system.

The rest of the paper is constructed as follows. Petri nets basics, deadlock prevention approach based on the SMS and the proposed robust control based on colored Petri nets are introduced in Section II. Section III shows an example from the literature. Finally, Section IV presents conclusions and future research.

## II. METHODOLOGY

### A. Petri Nets Basics

Let  $N$  be a Petri net with  $N = (P, T, F, W)$ , where  $P$  is finite non-empty set of places and  $T$  is finite non-empty set of transitions. Elements in  $P \cup T$  are graphically named by nodes. Where  $P \cup T \neq \emptyset$  and  $P \cap T = \emptyset$ ; places are depicted by circles and transitions are depicted by bars.  $F \subseteq (P \times T) \cup (T \times P)$  is said to be a set of directed arcs of  $N$  that join the places to transitions or transitions to places.  $W: (P \times T) \cup (T \times P) \rightarrow \mathbf{IN}$  is a mapping that assigns an arc's weight, where  $\mathbf{IN} = \{0, 1, 2, \dots\}$  [1].

$N = (P, T, F, W)$  is called an ordinary net if  $\forall (p, t) \in F$ ,  $W(p, t) = 1$ . Let  $x, z \in P \cup T$  be nodes in  $N = (P, T, F, W)$ ,  $N$  is said to be a weighted net if there is an arc between  $x$  and  $z$  such that  $W(x, z) > 1$ . Assume that  $a$  and  $b$  are nodes in  $N = (P, T, F, W)$ , i.e.,  $a, b \in P \cup T$ . Then,  $a = \{b \in P \cup T \mid (b, a) \in F\}$  is called the input (preset) of node  $a$ , and  $a' = \{b \in P \cup T \mid (a, b) \in F\}$  is called the output (postset) of node  $a$  [1].

A marking  $M$  of  $N$  is a mapping  $M: P \rightarrow \mathbf{IN}$  and represents the status of the system.  $(N, M_0)$  is a marked Petri net, expressed as  $N = (P, T, F, W, M_0)$ , where  $M_0$  is the initial marking of  $N$ ,  $M_0: P \rightarrow \mathbf{IN}$ . Suppose that  $M(p)$  is the number of tokens in place  $p$ , a transition  $t \in T$  is enabled at any marking  $M$  if for all  $p \in {}^*t$ ,  $M(p) \geq W(p, t)$ , which is expressed as  $M[t]$ . When the enable transition  $t$  fires, it takes  $W(p, t)$  token(s) from each place  $p \in {}^*t$ , and deposits  $W(t, p)$  token(s) in each place  $p \in t'$ . Thus, it leads the system to reach a new marking  $M'$ , expressed as  $M[t] M'$  in short, the new reachable marking can be computed as  $M'(p) = M(p) - W(p, t) + W(t, p)$ .

Let  $(N, M_0)$  be a marked Petri net with  $N = (P, T, F, W, M_0)$ .  $N$  has a self-loop if for all  $p, t \in P_C \cup T$ ;  $W(p, t) > 0$  implies  $W(t, p) > 0$ . Let  $(N, M_0)$  be an ICPN with  $N = (P, T, F, W, M_0)$ .  $[N]$  is called the incidence matrix of net  $N$ , where  $[N]$  is a  $|P| \times |T|$  integer matrix with  $[N](p, t) = W(t, p) - W(p, t)$ . The incidence vector of a place  $p$  is represented by  $[N](p, \cdot)$  and the incidence vector of a transition  $t$  is represented by  $[N](\cdot, t)$ .

$R(N, M)$  is a set of markings that are reachable from  $M$  in a Petri net model  $(N, M)$ . Let  $(N, M_0)$  be a marked Petri net with  $N = (P, T, F, W, M_0)$ . A transition  $t \in T$  is live (deadlock free) if for all  $M \in R(N, M)$ , there exists  $M' \in R(N, M)$  such that firing sequence  $M[t]$  holds. A transition is dead at  $M_0$  if there

does not exist  $t \in T$  such that  $M_0[t]$  holds. A marking  $M'$  is called reachable from  $M$  if there exists a sequence of transitions  $\delta = t_1 t_2 t_3 \dots t_n$  that can be fired, and markings  $M_1, M_2, M_3, \dots$ , and  $M_{n-1}$  are such that  $M[t_0]M_1[t_1]M_2[t_2]M_3 \dots M_n[t_n]M'$  holds, denoted as  $M[\delta]M'$ , fulfills the state equation  $M' = M + [N] \tilde{\delta}$  [1].

Let  $(N, M_0)$  be a marked Petri net with  $N = (P, T, F, W, M_0)$ .  $N$  is called bounded if there exists  $q \in \mathbf{IN}$ ,  $\forall M \in R(N, M_0)$ ,  $\forall p \in P_C$ ,  $M(p) \leq q$ .  $(N, M_0)$  is structurally bounded if it is bounded for any  $M_0$ .  $N$  is called safe if  $\forall M \in R(N, M_0)$ ,  $\forall p \in P_C$ ,  $M(p) \leq 1$ .  $(N, M_0)$  is  $q$ -safe if it is  $q$ -bounded [1].

A place vector  $I: P \rightarrow \mathbf{Z}$  indexed by  $P$  is said to be a place invariant (P-invariant) if  $I^T \cdot [N] = \mathbf{0}^T$  and  $I \neq \mathbf{0}$ , a transition vector  $J: T \rightarrow \mathbf{Z}$  indexed by  $T$  is said to be a transition invariant (T-invariant) if  $[N] \cdot J = \mathbf{0}$  and  $J \neq \mathbf{0}$ , where  $\mathbf{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$ . A place invariant  $I$  is called a place semiflow or P-semiflow, if each element of  $I$  is nonnegative. Assume  $I$  is a place invariant of  $N = (P, T, F, W, M_0)$  and  $M$  is a marking reachable from the initial marking  $M_0$ . Then,  $I^T M = I^T M_0$ .  $\|I\| = \{p \mid I(p) \neq 0\}$  is called support of place invariant  $I$ .  $\|J\| = \{t \mid J(t) \neq 0\}$  is called support of transition invariant  $J$ . The  $\|I\|$  are divided into three parts: (1)  $\|I\|^+ = \{p \mid I(p) > 0\}$ , which called positive support of place invariant  $I$ . (2)  $\|I\|^- = \{p \mid I(p) < 0\}$ , which called negative support of P-invariant  $I$ . (3)  $I$  is a minimal place invariant if  $\|I\|$  is not a superset of the support of any other one and its components are mutually prime [1]. The  $\|J\|$  are divided into three parts: (1)  $\|J\|^+ = \{t \mid J(t) > 0\}$ , which called positive support of transition invariant  $J$ . (2)  $\|J\|^- = \{t \mid J(t) < 0\}$ , which called negative support of transition invariant  $J$ . (3)  $J$  is a minimal transition invariant if  $\|J\|$  is not a superset of the support of any other one and its components are mutually prime [1].

Let  $(N, M_0)$  be a colored finite-capacity PN with  $N = (P_C, T, F, W, M_0, K, SC, C_f, N_f, A_f, G_f, I_f)$  [1], where  $P, T$ , and  $F$  are already defined in previous Definitions. SC is a set of colors involving  $c$  colors and the color operations.  $C_f: P_C \rightarrow c$  is the function of the color, which maps  $p \in P_C$  into colors  $c \in SC$ .  $N_f$  is the function of the node, which maps  $F$  into  $(P_C \times T) \cup (T \times P_C)$ .  $A_f$  is the function of the arc, which maps each flow (arc)  $f \in F$  into the term  $e$ .  $G_f$  is the function of the guard, which maps each transition  $t \in T$  to a guard expression  $g$  that has a Boolean value.  $I_f$  is the function of the initialization, which maps each place  $p \in P_C$  into an initialization expression that has initial states of places.

### B. Strict Minimal Siphons

**Definition1** [22]. A simple sequential process ( $S^2P$ ) is a Petri net model with  $N = (\{p^0\} \cup P_A, T, F)$ , satisfying: (1)  $N$  is a strongly connected state machine and (2) each circuit  $N$  contains place  $p^0$ , where  $p^0$  is a process idle place and  $P_A$  is a set of operation places,  $P_A \neq \emptyset$ .

**Definition2** [22]. A simple sequential process with resources ( $S^2PR$ ) is a Petri net model with  $N = (\{p^0\} \cup P_A \cup P_R, T, F)$ , satisfying:

1. The subnet created by  $Y = P_A \cup \{p^0\} \cup T$  is an  $S^2P$ .
2.  $P_R \neq \emptyset$  and  $(P_A \cup \{p^0\}) \cap P_R = \emptyset$ , where  $P_R$  is called a set of resource places.

3.  $\forall p \in P_A, \forall t \in \cdot p, \forall t' \in p \cdot, \exists r_p \in P_R, \cdot t \cap P_R = t' \cap P_R = \{r_p\}$ .
4.  $\forall r \in P_R, \cdot r \cap P_A = r \cdot \cap P_A \neq \emptyset$  and  $\cdot r \cap r \cdot \neq \emptyset$ .
5.  $\cdot(p^0) \cap P_R = (p^0) \cdot \cap P_R \neq \emptyset$ .

**Definition3 [22].** A simple sequential process with resources ( $S^2PR$ ) with  $N = (\{p^0\} \cup P_A \cup P_R, T, F)$ , and  $M_o$  is called an initial marking of net  $N$ . An  $S^2PR$  is called acceptably marked, satisfying: (1)  $M_o(p^0) \geq 1$ , (2)  $M_o(p) = 0, \forall p \in P_A$ , and (3)  $M_o(r) \geq 1, \forall r \in P_R$ .

**Definition4 [22].** Let  $N = (\{p^0\} \cup P_A \cup P_R, T, F)$  be an  $S^2PR$  net and called  $S^3PR$  for abbreviation, is repetitively expressed as follows:

1. An  $S^2PR$  is as well an  $S^3PR$
2. Let  $i=1,2$  and  $N_i$  be two  $S^3PR$ s, where  $N_i = (\{p^0_i\} \cup P_{A_i} \cup P_{R_i}, T_i, F_i)$ , such that  $(\{p^0_1\} \cap \{p^0_2\}) = \emptyset, (P_{A_1} \cap P_{A_2}) = \emptyset, P_{R_1} \cap P_{R_2} = P_C$  and  $T_1 \cap T_2 \neq \emptyset$ . Thus, the net  $S^3PR$  with  $N = (\{p^0\} \cup P_A \cup P_R, T, F)$  resulting from the composition of  $N_1$  and  $N_2$  by the set of common  $P_C$  and denoted as: (1)  $p^0 = \{p^0_1\} \cup \{p^0_2\}, P_R = P_{R_1} \cup P_{R_2}, P_A = P_{A_1} \cup P_{A_2}, T = T_1 \cup T_2, F = F_1 \cup F_2$ .

**Definition5 [22].** Let  $N = (\{p^0\} \cup P_A \cup P_R, T, F, M_o)$  be an  $S^3PR$  net.  $N$  is called acceptably marked if the following conditions are satisfied: (1)  $M_o$  is an initial marking of  $N$ ; (2)  $N$  is an acceptably marked  $S^2PR$ . (3) For all  $i \in \{1,2\}, (N_i, M_{oi})$  is called an acceptably marked  $S^3PR$ . Thus, the net  $S^3PR$  with  $N = N_1 \circ N_2$  is acceptably marked, and

1. For all  $i \in \{1,2\}$ , for all  $r \in P_{R_i} \setminus P_C, M_o(r) = M_{oi}(r)$ .
2. For all  $i \in \{1,2\}$ , for all  $r \in P_C, M_o(r) = \max \{M_{oi}(r), M_{o2}(r)\}$ .
3. For all  $i \in \{1,2\}$ , for all  $p \in P_{A_i} \cup \{p^0_i\}, M_o(p) = M_{oi}(p)$ .

**Definition6 [22].** Let  $N = (\{p^0\} \cup P_A \cup P_R, T, F, M_o)$  be an  $S^3PR$  net. A non-empty set  $S \subseteq P$  is named a siphon in  $N$  if  $\cdot S \subseteq S \cdot$ . If a siphon contains no other siphons, it is considered a minimal siphon.

**Definition7 [22].** Let  $N = (\{p^0\} \cup P_A \cup P_R, T, F, M_o)$  be an  $S^3PR$  net.  $S$  is named a minimal siphon in  $N$ . A minimal siphon  $S$  is named a strict minimal siphon if  $S' \subsetneq S$ . Let  $\Pi = \{S_1, S_2, S_3, \dots, S_k\}$  be a set of strict minimal siphons of  $N$ . We have  $S = S_A \cup S_R, S_R = S \cap P_R$ , and  $S_A = S \setminus S_R$ , where  $S_A$  and  $S_R$  are sets of operations and resources places, respectively.

**Definition8 [22].** Let  $N = (\{p^0\} \cup P_A \cup P_R, T, F, M_o)$  be an  $S^3PR$  net,  $r \in P_R$  be a reliable resource place in  $N$ . The operation places that use  $r$  are recognized as the set of holders of  $r$ , expressed as  $H(r) = \{p | p \in P_A, p \in \cdot r \cap P_A \neq \emptyset\}$ .  $[S]$  is called the complementary set or stealing places of  $S$  if  $[S] = (\cup_{r \in S_R} H(r)) \setminus S_A$ , where stealing places are operation places that require resources places of siphon  $S$ , but are not in a siphon  $S$ .

#### C. Deadlock Prevention Policy Based on Strict Minimal Siphons

This section introduces a deadlock-prevention approach based on SMSs to build a controlled Petri net model. This approach is derived from Ezpeleta et al. [21].

**Definition9 [22].** Let  $(N_1, M_1)$  and  $(N_2, M_2)$  be two Petri nets with  $N_i = (P_i, T_i, F_i, W_i)$ , where  $i = 1, 2$ .  $(N, M)$  with  $N = (P, T, F, W)$  is named a synchronous net resulting from the

integration of  $(N_1, M_1)$  and  $(N_2, M_2)$ , defined as  $(N_1, M_1) \parallel (N_2, M_2)$ , satisfying: (1)  $P = P_1 \cup P_2$  and  $P_1 \cap P_2 = \emptyset$ . (2)  $T = T_1 \cup T_2$ . (3)  $F = F_1 \cup F_2$ . (4)  $W(e) = W_i(e)$ , where  $e \in F_i, i = 1, 2$ , and (5)  $M(p) = M_i(p), p \in P_i, i = 1, 2$ .

**Definition10 [22].** Let  $N = (\{p^0\} \cup P_A \cup P_R, T, F, M_o)$  be an  $S^3PR$  net. The deadlock controller for  $(N, M_o)$  proposed in [21] is defined as  $(V, M_{Vo}) = (P_V, T_V, F_V, M_{Vo})$ , where (1)  $P_V = \{V_S \mid S \in \Pi\}$  is set of control places. (2)  $T_V = \{t \mid t \in \cdot V_S \cup V_S \cdot\}$ . (3)  $F_V \subseteq (P_V \times T_V) \cup (T_V \times P_V)$  is named a flow relation of  $N$ , denoted by an arc with an arrow from control places to transitions or transitions to control places. (4) For all  $V_S \in P_V, M_{Vo}(V_S) = M_{Vo}(S) - 1$ , where  $M_{Vo}(V_S)$  is an initial marking of a control place.

$(N_V, M_{Vo})$  is named a controlled Petri net model resulting from the composition of  $(V, M_{Vo})$  and  $(N, M_o)$ , defined as  $(V, M_{Vo}) \parallel (N, M_o)$ . Based on the strict minimal siphon concept, the proposed deadlock prevention algorithm developed by [21] is shown as follows:

**Algorithm 1:** (Deadlock-prevention algorithm based on SMSs)

**Input:** An  $S^3PR (N, M_o)$ .

**Output:** A net  $(N_V, M_{Vo})$ .

**Step 1:** Calculate the  $\Pi$  for  $N$ .

**Step 2:** for each  $S \in \Pi$  do

1. Design the  $V_S$ ;
2. Insert the  $V_S$  output arcs;
3. Insert the  $V_S$  input arcs;
4. Define  $M_{Vo}(V_S)$ .

**end for**

**Step 3:** Output the net  $(N_V, M_{Vo})$ .

**Step 4:** End

#### D. Deadlock Prevention Policy Based on SMSs and Colored Petri Nets

**Definition11 [1].** Let  $N = (\{p^0\} \cup P_A \cup P_R, T, F, M_o)$  be an  $S^3PR$  net. The controller subnet for deadlocks of  $(N, M_o)$  proposed in [21] is represented as  $(V, M_{Vo}) = (P_V, T_V, F_V, M_{Vo})$ . Here,  $(V, M_{Vo})$  can be changed by a colored common controller subnet  $N_{DC}$  with  $N_{DC} = (\{p_{combined1}\}, \{T_{DCi} \cup T_{DCo}\}, F_{DC}, C_{vsi})$ , where  $p_{combined1}$  is said to be the global place of all control places  $P_V = \{V_S \mid S \in \Pi\}$ .  $T_{DCi} = \{t \mid t \in \cdot V_S\}$  is a set of the input (preset) of  $V_S$ .  $T_{DCo} = \{t \mid t \in V_S \cdot\}$  is a set of the output (postset) of  $V_S$ .  $F_{DC} \subseteq (\{p_{combined1}\} \times \{T_{DCi} \cup T_{DCo}\}) \cup (\{T_{DCi} \cup T_{DCo}\} \times \{p_{combined1}\})$  is said to be a set of directed arcs of  $N_{DC}$  that join the  $p_{combined1}$  to  $(T_{DCi} \cup T_{DCo})$  or  $(T_{DCi} \cup T_{DCo})$  to  $p_{combined1}$ .  $C_{vsi}, C_{vsi} \in SC, SC = \cup_{i \in V_S} \{C_{vsi}\}$  is the color, which maps  $p_{combined1}$  into colors.  $(N_{DC}, M_{DCo})$  represents a colored common controller subnet, and  $M_{DCo}(p_{combined1})$  represents the initial tokens with color of the global place and expressed as  $\forall V_S \in P_V, M_{DCo}(p_{combined1}) = \sum M_{Vo}(V_S)$ .

**Definition12 [1].** Let  $N = (\{p^0\} \cup P_A \cup P_R, T, F, M_o)$  be an  $S^3PR$  net and  $N_{DC} = (\{p_{combined1}\}, \{T_{DCi} \cup T_{DCo}\}, F_{DC}, C_{vsi}, M_{DCo})$  be a deadlock controller for  $(N, M_o)$  designed by Definition 11. The composition of  $(N, M_o)$  and  $(N_{DC}, M_{DCo})$  is called a colored controlled  $S^3PR$  net, expressed as  $(N_C, M_{Co}) = (N, M_o) \parallel (N_{DC}, M_{DCo})$ , and  $N_C = (P_A \cup \{p^0\} \cup P_R \cup \{p_{combined1}\}, T \cup T_{DCi} \cup T_{DCo}, F \cup F_{DC}, C_R, M_{Co})$ .

**Theorem1 [1].** Let  $N_C = (P_A \cup \{p^0\} \cup P_R \cup \{p_{combined1}\}, T \cup$

$T_{DCi} \cup T_{DCo}, F \cup F_{DC}, C_R, M_{Co}$  be colored controlled S<sup>3</sup>PR net. Then, the net  $(N_C, M_{Co})$  is live.

**Proof.** First, all transitions  $T, T_{DCi}, T_{DCo}$  in  $(N_C, M_{Co})$  have to be proved to be live. Moreover, no new SMS is occurred, since for all  $t_1 \in T$  are live. When all  $t_2 \in T_{DCi}, p \in {}^*t_2, M_C(p_i) > 0$ , then  $t_2$  can fire. Thus,  $M_C(p_{combined1}) > 0$ , for all  $t_3 \in T_{DCo}$ , if  $M_C(p_{combined1}) > 0$ , then  $t_3$  can fire. Thus, we can say that the net  $(N_C, M_{Co})$  is live.

According to the concepts of colored Petri nets [1] and SMSs, the proposed method is constructed in Algorithm 2.

**Algorithm 2:** Strict Minimal Siphon based on Colored Petri Nets Algorithm

**Input:** The nets  $(N, M_o)$  and  $(V, M_{Vo})$ .

**Output:** A net  $(N_C, M_{Co})$ .

**Step 1:** Combine all monitors  $P_V$  into  $p_{combined1}$ , then do the following steps:

1. Insert  $p_{combined1}$  output arcs  $T_{DCo}$ ;
2. Insert  $p_{combined1}$  input arcs  $T_{DCi}$ ;
3. Assign colors  $C_{vsi}$  for all monitors  $P_V$ ;
4. Compute an initial token with the colors marking of a merged monitor  $M_{DCo}(p_{combined1}) = \sum M_{Vo}(V_s)$ .

**Step 2:** Add the merged monitor into the net  $(N, M_o)$ .

**Step 3:** Output a net  $(N_C, M_{Co})$ .

**Step 4:** End

#### E. Robust Control for Unreliable Resources Based on Colored Petri Nets

This section describes a robust two-step control policy. In the first step, the system's resources are generally assumed to be reliable. Several monitors are added after applying a strict minimal siphon-based control strategy to such a system. In the second step, by considering that resources may fail, a common recovery subnet is added to model all resource failures in a system. As a result, a robust controlled system is developed. Section II presents the method used in the first step. This section concentrates on the relevance between resource failures and the controlled system in the first step.

**Definition13** [17]. Let  $r_u \in P_R$  be an unreliable resource. A recovery subnet of  $r_u$  is a PN  $N_{ri} = (\{p_i, p_{ri}\}, \{t_{fi}, t_{ri}\}, F_{ri})$ , where  $F_{ri} = \{(p_i, t_{fi}), (t_{fi}, p_{ri}), (p_{ri}, t_{ri}), (t_{ri}, p_i)\}$ , and an unreliable resource may fail when it is idle  $r_u$  or in a busy state (its holders),  $p_i \in \{r_u\} \cup H(r_u)$ .  $(N_{ri}, M_{rio})$  is called a marked recovery subnet, where  $M_{rio}(p_i) \geq 0$  and  $M_{rio}(p_{ri}) = 0$ .

**Definition14.** Let  $r_u \in P_R$  be an unreliable resource. A colored common recovery subnet of  $r_u$  is a PN  $N_{cri} = (\{p_i, p_{combined2}\}, \{t_{fi}, t_{ri}\}, F_{cri}, C_{cri})$ , where  $F_{cri} = \{(p_i, t_{fi}), (t_{fi}, p_{combined2}), (p_{combined2}, t_{ri}), (t_{ri}, p_i)\}$ , and an unreliable resource may fail when it is idle  $r_u$  or in a busy state (its holders). Thus, we define  $P_{RH} = \{r_u\} \cup H(r_u)$  as a set of places, where  $H(r_u)$  is a set of holders of  $r_u$ , indicated by  $H(r_u) = \{p | p \in P_A, p \in {}^*r_u \cap P_A \neq \emptyset\}$ ,  $p_i \in P_{RH}$ .  $C_{cri}$  is the color that maps  $p_i \in P_{RH}$  into colors  $C_{cri} \in SC$ .  $(N_{cri}, M_{crio})$  is called a colored common marked recovery subnet, where  $M_{crio}(p_i) \geq 0$  and  $M_{crio}(p_{combined2}) = 0$ . In Definition 14,  $p_{combined2}$  is called the recovery place of all  $p_i$ . Transitions  $t_{fi}$  and  $t_{ri}$  indicate that an unreliable resource  $r_u$  fails in  $p_i$  and recovers through  $p_{combined2}$ , respectively. If an unreliable resource fails in  $p_i$ , then the token in  $p_i$  flows into

$p_{combined2}$  by firing  $t_{fi}$ . When transition  $t_{fi}$  fires, it adds a color  $C_{cri}$  to the tokens from  $p_i$  and deposits them into the common place  $p_{combined2}$ . After the failed resource is repaired, the colored token in  $p_{combined2}$  flows into  $p_i$  by firing  $t_{ri}$ . When transition  $t_{ri}$  fires, it selects only the tokens with color  $C_{cri}$  from  $p_{combined2}$  and deposits them into  $p_i$ , indicating that a resource recovery is finished. Note that by default, colors are inherited: when a transition  $t_{ri}$  fires, it collects all colors from the consumed ( $p_{combined2}$ ) tokens and passes them to the deposited ( $p_i$ ) tokens. However, color inheritance can be prevented by overriding.

**Definition15.** Let  $(N_C, M_{Co})$  be a colored controlled S<sup>3</sup>PR, and  $P_{Ru}$  be the set of unreliable resources. For all  $r_u \in P_{Ru}$ , adding one common recovery subnet for all  $p_i \in P_{RH}$  results in a colored controlled unreliable Petri net defined as  $(N_{CU}, M_{CUo}) = (N_C, M_{Co}) \parallel (N_{cri}, M_{crio})$  that is the composition of  $(N_C, M_{Co})$  and  $(N_{cri}, M_{crio})$ .

**Theorem2.** The colored controlled unreliable Petri net  $(N_{CU}, M_{CUo})$  is live.

**Proof.** There is a need to prove that all transitions  $T, T_F$ , and  $T_R$  in  $(N_{CU}, M_{CUo})$  are live. It does not matter how a controlled system develops, and there are no strict minimal siphons being emptied. In addition, there is no new strict minimal siphon being created, which indicates that the net  $(N_{CU}, M_{CUo})$  is live when there is no failure in an unreliable resource  $r_u \in P_{Ru}$  because all  $t_1 \in T$  are live. The net  $(N_{CU}, M_{CUo})$  is live when at least one unreliable resource fails but at least one part type can still be processed by the colored controlled unreliable Petri net  $(N_{CU}, M_{CUo})$ . Moreover, if a failure in the unreliable resource  $r_u \in P_{Ru}$  occurs, then the failed resource  $r_u$  will be repaired successfully, the system may be returned to operate without causing a deadlock, and the colored controlled unreliable Petri net  $(N_{CU}, M_{CUo})$  will remain live for all  $t_2 \in T_F$  if for all  $p_i \in {}^*t_2, M_{CU}(p_i) > 0$ . Then,  $t_{fi}$  can fire in any case because it is uncontrollable, leading to  $M_{CU}(p_{combined2}) > 0$  for all  $t_3 \in T_R$ . If  $M_{CU}(p_{combined2}) > 0$ , then  $t_3$  can fire. It can therefore be said that the colored controlled unreliable Petri net  $(N_{CU}, M_{CUo})$  is live.

Based on strict minimal siphons, unreliable resources, and colored Petri nets, the developed policy is shown as follows:

**Algorithm 3:** (Robust control for unreliable resources based on colored Petri net algorithm)

**Input:** A colored controlled net  $(N_C, M_{Co})$  by Algorithm 2

**Output:** A colored controlled unreliable marked Petri net  $(N_{CU}, M_{CUo})$

**Step 1:** for each  $r_u \in P_{Ru}$  do

1. Add a transition to represent breakdown resource  $t_{fi}$ .
2. Define colors  $C_{cri}$  for failure transition  $t_{fi}$ .
3. Add a recovery place  $p_{combined2}$ .
4. Add a recovery transitions to represent that the failed resource is repaired  $t_{ri}$ .
5. The  $p_i$  output arcs are connected to the  $t_{fi}$  transition, and all arc weights are unitary
6. The  $p_{combined2}$  input arcs are connected from the  $t_{fi}$  transitions, and all arc weights are unitary.
7. The  $p_{combined2}$  output arcs are connected to the  $t_{ri}$  transitions, and all arc weights are unitary.
8. The  $p_i$  input arcs are connected from the  $t_{ri}$  transitions, and all arc weights are unitary.

end for

Step 2: Output a net  $(N_C, M_{C0})$ .

Step 3: End

### III. NUMERICAL EXAMPLE

To illustrate the proposed methodology, consider an AMS example shown in Fig. 1 [1]. It comprises 11 places and 8 transitions. The places can be defined as the following set partition:  $P_A = \{p_2, p_3, \dots, p_7\}$ ,  $P_R = \{p_9, p_{10}, p_{11}\}$ , and  $P^0 = \{p_1, p_8\}$ . The model has 20 reachable markings. In addition, the model has three SMSs, which are

1.  $S_1 = p_4 + p_7 + p_9 + p_{10} + p_{11}$ ,
2.  $S_2 = p_4 + p_6 + p_{10} + p_{11}$ , and
3.  $S_3 = p_3 + p_7 + p_9 + p_{10}$ .

Based on the Algorithm 1 the control places are designed as follows:

1. For  $S_1$ :  $V_{S1} = \{t_3, t_7\}$ ,  $V_{S1}^* = \{t_1, t_5\}$ , and  $M_{V_o}(V_{S1}) = 2$ ;
2. For  $S_2$ :  $V_{S2} = \{t_3, t_6\}$ ,  $V_{S2}^* = \{t_2, t_5\}$ , and  $M_{V_o}(V_{S2}) = 1$ ;
3. For  $S_3$ :  $V_{S3} = \{t_2, t_7\}$ ,  $V_{S3}^* = \{t_1, t_5\}$ , and  $M_{V_o}(V_{S3}) = 1$ .

The controlled Petri net model by using Algorithm 1 is displayed in Fig. 2.

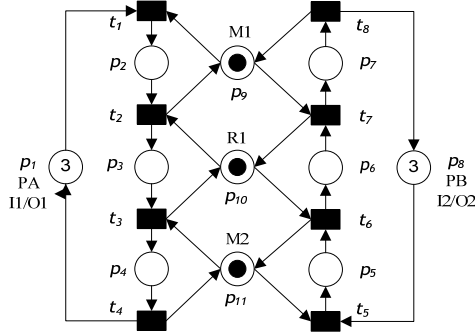


Fig. 1  $S^3PR$  Petri net model of an AMS

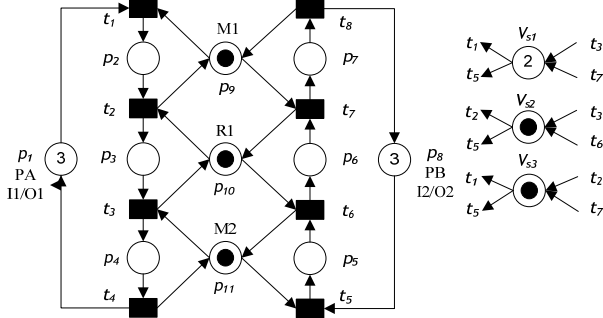


Fig. 2 Controlled  $S^3PR$  Petri net model by Algorithm 1

By Algorithm 2, a single controller for the controlled Petri net model from Fig. 2 is displayed in Fig. 3. In the net shown in Fig. 3, if transition  $t_1$  fires, it chooses one token of color  $C_{vs1}$  from  $p_{combined1}$ , one token of color  $C_{vs3}$  from  $p_{combined1}$ , one token from resource place  $p_9$ , and one token from input place  $p_1$  it deposits them into  $p_2$ . In addition, if transition  $t_2$  fires, it chooses one token of color  $C_{vs2}$  from  $p_{combined1}$ , one token from

resource place  $p_{10}$ , and one token from operation place  $p_2$  and deposits them into  $p_3$ . If transition  $t_5$  fires, chooses one token of color  $C_{vs2}$  from  $p_{combined1}$ , one token of color  $C_{vs3}$  from  $p_{combined1}$ , one token from resource place  $p_{11}$ , and one token from input place  $p_8$  and deposits them into  $p_5$ . If transition  $t_2$  fires, it generates a color  $C_{vs3}$  on the tokens from  $p_2$  and  $p_{10}$  and deposits them into  $p_{combined1}$ . Moreover, if the transition  $t_3$  fires, it generates two colors  $C_{vs1}$  and  $C_{vs2}$  on the tokens from  $p_3$  and  $p_{11}$  and deposits them into  $p_{combined1}$ . In addition, if transition  $t_6$  fires, it generates a color  $C_{vs2}$  on the tokens from  $p_5$  and  $p_{10}$  and deposits them into  $p_{combined1}$ . Finally, if transition  $t_7$  fires, it generates two colors  $C_{vs1}$  and  $C_{vs3}$  on the tokens from  $p_6$  and  $p_9$  and deposits them into  $p_{combined1}$ .

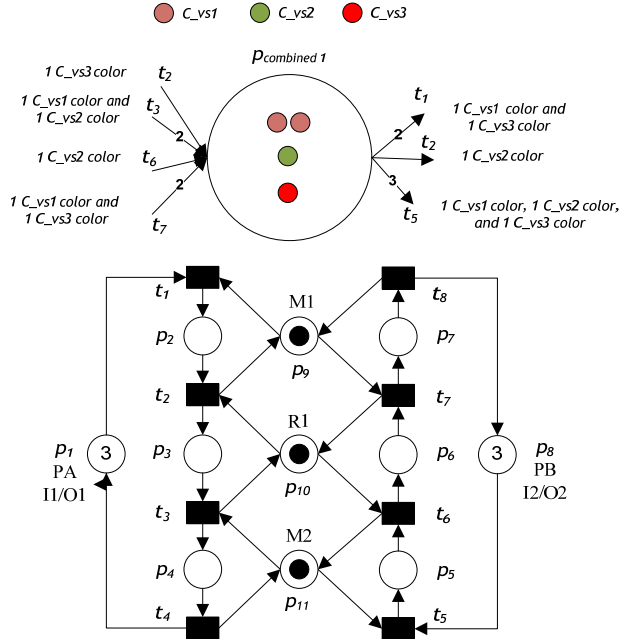


Fig. 3 Colored controlled  $S^3PR$  Petri net model by Algorithm 2

Considering an unreliable marked  $S^3PR$  net shown in Fig. 3, we assume that the index set that may be used is  $NA = \{i | p_i \in P_{RH}\}$ .  $T_F = \cup_{i \in NA} \{t_{fi}\}$ ,  $T_R = \cup_{i \in NA} \{t_{ri}\}$ , and  $C_F = \cup_{i \in NA} \{C_{cri}\}$ , where  $t_{fi}$ ,  $t_{ri}$ , and  $C_{cri}$  are defined by using Definitions 13 and 14. In the net shown in Fig. 3, we have  $P_{Ru} = \{p_9, p_{10}, p_{11}\}$ ,  $H(p_9) = \{p_2, p_7\}$ ,  $H(p_{10}) = \{p_3, p_6\}$ , and  $H(p_{11}) = \{p_4, p_5\}$ . Adding a common recovery subnet for  $p_9$ ,  $p_{10}$ , and  $p_{11}$  by Definition 14 results in an unreliable  $S^3PR$  net model, as depicted in Fig. 4.  $NA = \{2, 3, 4, 5, 6, 7\}$ ,  $T_F = \{t_2, t_3, t_4, t_5, t_6, t_7\}$ ,  $T_R = \{t_{r2}, t_{r3}, t_{r4}, t_{r5}, t_{r6}, t_{r7}\}$ , and  $C_R = \{C_{cr2}, C_{cr3}, C_{cr4}, C_{cr5}, C_{cr6}, C_{cr7}\}$ .

If resource  $p_9$  fails in busy state  $p_2$  or  $p_7$ , i.e.,  $t_{f2}$  or  $t_{f7}$  fires, it adds a color  $C_{cr2}$  or  $C_{cr7}$  to the token from  $p_2$  or  $p_7$  and deposits them into the common place  $p_{combined2}$ . After the failed resource  $p_9$  is repaired, the colored token in  $p_{combined2}$  flows into  $p_2$  or  $p_7$  by firing  $t_{r2}$  or  $t_{r7}$  when the transition  $t_{r2}$  or  $t_{r7}$  fires, and selects only the tokens with colors  $C_{cr2}$  or  $C_{cr7}$  from  $p_{combined2}$  and deposits them into  $p_2$  or  $p_7$ , indicating that the recovery of

resource  $p_9$  is finished. When resource  $p_{10}$  fails in busy state  $p_3$  or  $p_6$ , i.e.,  $t_{f3}$  or  $t_{f6}$  fires, it adds a color  $C_{cr3}$  or  $C_{cr6}$  to the token from  $p_3$  or  $p_6$  and deposits them into the common place  $p_{combined2}$ . After the failed resource  $p_{10}$  is repaired, the colored token in  $p_{combined2}$  flows into  $p_3$  or  $p_6$  by firing  $t_{r3}$  or  $t_{r6}$  when the transition  $t_{r3}$  or  $t_{r6}$  fires, and selects only the tokens with colors  $C_{cr3}$  or  $C_{cr6}$  from  $p_{combined2}$  and deposits them into  $p_3$  or  $p_6$ , indicating that the recovery of resource  $p_{10}$  is finished. Finally, if resource  $p_{11}$  fails in busy state  $p_4$  or  $p_5$ , i.e.,  $t_{f4}$  or  $t_{f5}$  fires, it adds a color  $C_{cr4}$  or  $C_{cr5}$  to the token from  $p_4$  or  $p_5$  and deposits them into the common place  $p_{combined2}$ . After the failed resource  $p_{11}$  is repaired, the colored token in  $p_{combined2}$  flows into  $p_4$  or  $p_5$  by firing  $t_{r4}$  or  $t_{r5}$  when the transition  $t_{r4}$  or  $t_{r5}$  fires, and selects only the tokens with colors  $C_{cr4}$  or  $C_{cr5}$  from  $p_{combined2}$  and deposits them into  $p_4$  or  $p_5$ , indicating that the recovery of resource  $p_{11}$  is finished.

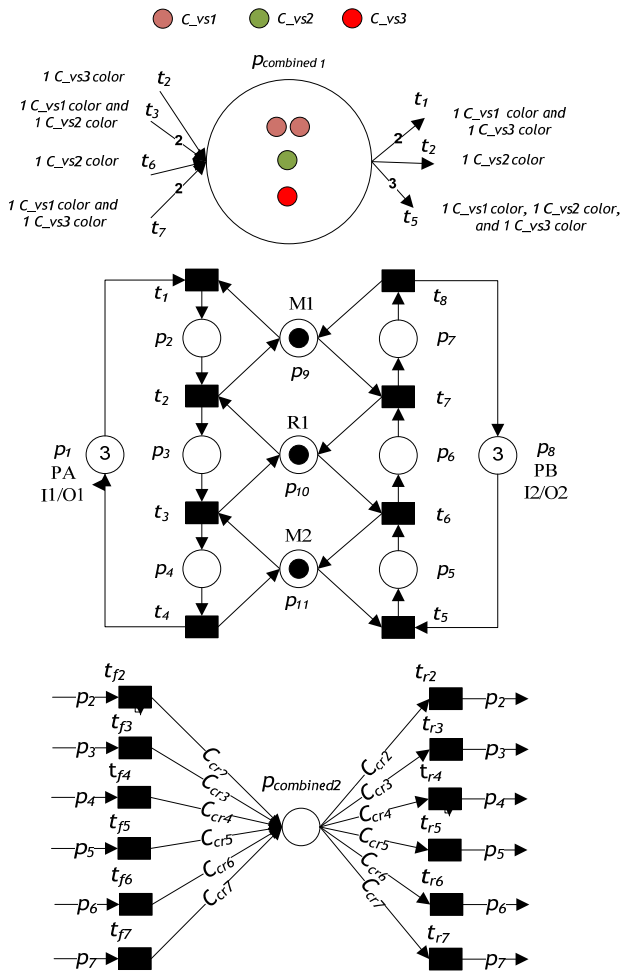


Fig. 4 Colored controlled unreliable Petri net model by Algorithm 3

#### IV. CONCLUSION

Various studies on the prevention of deadlocks have been devoted to enforcing liveness on the premise that all resources in a system work correctly [1]-[11], [24]-[26]. However, in practice, AMSs may face unexpected resource failures.

Therefore, this research suggested a robust deadlock-prevention controller for an AMS with all unreliable resources. In the absence or existence of resource failure, the proposed robust controller can ensure the required properties, i.e., the liveness of the controlled system. A three-step policy was developed in this paper to address the robust deadlock control of AMSs. The strict minimal siphon-based policy in [21] was utilized in the first step for a specific plant, resulting in a controlled net without considering resource failures. The second step uses an approach based on the colored Petri net to merge all monitors designed in the first step into a global monitor to make all SMSs marked. Then, resource failures were considered by adding a common recovery subnet in a unified modeling method using a Petri net. The controlled net can work smoothly even if unreliable resources fail as long as there is no failure of one unit of unreliable resource. The main advantages of the proposed method are: (1) It can be applied to an unreliable complex Petri net model for AMSs; (2) It has less computational complexity for the computation of the common recovery subnet; (3) It can obtain one common recovery subnet to model all resource failures; (4) It has a simpler structure; (5) It does not need to compute reachability graphs, which means that it has small computational overhead; (6) It can easily handle robust deadlock control problems with all unreliable resources without using inhibitor arcs.

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