

# Object Allocation with Replication in Distributed Systems

H. T. Barney and G. C. Low

**Abstract**—The design of distributed systems involves dividing the system into partitions (or components) and then allocating these partitions to physical nodes. There have been several techniques proposed for both the partitioning and allocation processes. These existing techniques suffer from a number of limitations including lack of support for replication. Replication is difficult to use effectively but has the potential to greatly improve the performance of a distributed system.

This paper presents a new technique for allocating objects in order to improve performance in a distributed system that supports replication. The performance of the proposed technique is demonstrated and tested on an example system. The performance of the new technique is compared with the performance of an existing technique in order to demonstrate both the validity and superiority of the new technique when developing a distributed system that can utilise object replication.

**Keywords**—Allocation, Distributed Systems, Replication.

## I. INTRODUCTION

WHILE there are a number of object oriented techniques for allocating the components of a distributed system [1-3], each has its limitations. The focus of this paper is the development of an allocation technique that supports object replication. Allocation techniques from object oriented distributed systems and distributed databases are examined before a modified technique is proposed. A worked example demonstrates the new technique. One of the alternative techniques examined is also applied to the same worked example. The results of the two partitioning processes are then compared.

## II. LITERATURE REVIEW

A system that is to be distributed around a network must be broken down into components that are allocated to physical nodes. The process of breaking the system down into components is called "partitioning". The process of allocating the components (partitions) around the network is called "allocation". The allocation process usually has the goal of minimizing inter-process communication cost, minimizing execution cost, load balancing, increasing system reliability

and providing scalability [4].

### A. Object oriented distributed system allocation techniques

#### 1) Low and Rasmussen's allocation technique[1]

Low and Rasmussen consider both the communication costs between partitions and the processing load on each of the nodes in the distributed system. They then use a heuristic algorithm [5] to merge partitions until an adequate allocation arrangement is reached.

This technique does not horizontally fragment instances of classes. Consequently all objects that reside in the same partition are allocated to the same node. Applying replication at the granularity of a whole class of objects may mean that there will be little benefit derived from applying the technique. If there are a large number of objects in a particular class then the cost of replicating and keeping replicas current for the whole class will almost always outweigh any benefit derived from locality of invocation.

This allocation technique also lacks support when deciding which objects should be replicated or how they should be replicated.

#### 2) Chang and Tseng's allocation technique[2]

Chang and Tseng's allocation technique makes allocation decisions at an object not a class level so their technique does not have the problems associated with allocating whole classes to individual nodes encountered in [1]. Although allocation in this technique can take place at the granularity of individual instances of classes, their technique offers no guidance on how to model the interactions between individual instances. It is therefore not clear how employing this method will support the developer in allocating different objects. In a large system with hundreds or even thousands of instances of each class, modeling the interactions between these instances is an insurmountable task.

#### 3) Purao et al's allocation technique[3]

Purao et al describe a method for allocation that uses a series of formulae to model different aspects of the performance of a distributed system. The four formulae estimate: the match of the fragments to their respective processors, the communication volume, concurrency potential and the cost of maintaining a given set of replicas. The user of this methodology must provide information about the network upon which the system is to be allocated and details about the design of the system. Additionally, horizontal fragmentation criteria for all classes must be provided beforehand.

Multiple possible allocation arrangements are produced that are locally, but not globally, optimal. The user can then

Manuscript received February 14, 2007.

H. T. Barney is a doctoral student at the School of Information Systems, Technology and Management at The University of New South Wales, Sydney, Australia. (e-mail: hamish@hbarney.com)

G. C. Low is a Professor of Information Systems at the School of Information Systems, Technology and Management at The University of New South Wales, Sydney, Australia. (e-mail: g.low@unsw.edu.au).

choose which of the suggested solutions has the performance characteristics that most closely match the desired performance characteristics of the final system. The chosen solution is then used to seed the next iteration of the technique to produce a further set of locally optimal solutions clustered around the chosen solution. This process continues until the user is satisfied that the allocation arrangement produced satisfactorily meets the desired performance characteristics.

The suggested approach has two main drawbacks: it does not consider processor loads and fragmentation decisions are made before the allocation process starts. The cost in terms of CPU time is not a factor considered in Puroo et al.'s model of the distributed system and as such their technique may produce an allocation arrangement where the CPU of one or more of the nodes is overloaded making it a bottle-neck for the entire system.

The fact that horizontal fragmentation criteria must be decided before the allocation process can commence may involve unnecessary work if all the instances of the given class end up being allocated to a single node. It may also be difficult to determine meaningful horizontal fragmentation criteria a priori without some information about the context of the decision, and what the fragmentation of the instances of that class aims to achieve.

#### B. Database Approaches

Most distributed database approaches to the allocation problem split the allocation process into two stages, fragmentation and allocation of these fragments. Fragmentation is the process of dividing a relation into meaningful segments. These fragments are then allocated to nodes in the distributed system. The allocation processes advocated by [6] and [7] will be examined to judge their suitability for application to object orientated distributed systems.

It is noted that there are some object oriented database techniques for partitioning such as [8] but these techniques generally focus on "address partitioning for efficient access of pages from secondary memory and require information that may not be easily available during the design stage" [3] and as such are not of direct interest for the purposes of this paper.

##### 1) *Ceri and Pelgatti's allocation technique [6]*

Ceri and Pelgatti offer a process whereby fragmentation and allocation are performed as independent exercises. Their process requires the creation of predicates that are used to divide the relations.

Their allocation process determines the optimal allocation of the predetermined database fragments based on where and how they are accessed using a 0,1 integer programming approach. This approach attempts to minimize the estimated communication costs between network nodes. This process is, however, by their own estimation, "very simplistic", and does not incorporate "the relationship between fragments.... the cost of integrity enforcement... concurrency enforcement". Despite the simplifications made to produce this equation this formulation of the problem has also been proven to be

NP-complete, making it impractical to use in a case where there are a large number of nodes and fragments.

##### 2) *Chaturvedi et al.'s allocation technique[9]*

Chaturvedi et al. approach the problem of allocating distributed database fragments by adapting a machine learning approach. After a database has been in use for some time its query and update history is examined to find portions of the database that remain unchanged. They call these unchanged portions, time-invariant fragments. These time-invariant fragments are then replicated to all nodes in the system. This approach is intended for use after an initial allocation arrangement has been formulated and the database has been in use for some time. As such, it is unsuitable for use in the formulation of an initial allocation arrangement. The replication strategy they suggest also appears rather simplistic and could lead to unnecessary overhead. As the replication strategy dictates that unchanged fragments of the database be replicated to all nodes, many nodes will host replicas of fragments they never access. In addition if one of these time-invariant fragments is changed at some later stage in the application's life, the cost of updating that fragment will be very high, as updates will have to be propagated to every node in the system. As such this technique is not appropriate for use as an initial allocation arrangement for object orientated distributed systems.

##### 3) *Tamhankar and Ram's allocation technique[7]*

Tamhankar and Ram present an integrated fragmentation and allocation technique for distributed databases. They identified seven criteria that a system designer can use to determine the fragmentation, replication and allocation strategy for each relation. The characteristics suggested for analysis are: the site of the updates, cost of updates, sites of queries, volume of data, data currency requirements and other overriding considerations.

A developer analyses all the relations in the system using the above criteria. An initial guess at the fragmentation and replication strategy is decided based on these characteristics. They produced a table of recommended fragmentation and replication strategies based on these seven criteria. The strategy can be modified in a process called secondary distribution to meet individual design goals. There are three secondary distribution stages: response time, availability and storage space.

### III. PROPOSED TECHNIQUE

None of the reviewed allocation techniques adequately solves the allocation problem for object-oriented systems where replication is available. Given the evaluation of the various allocation techniques and the analysis of their applicability to the field of object-oriented systems a new technique, incorporating the advantages of the reviewed allocation techniques, is proposed.

Tamhankar and Ram's approach has many advantages. It incorporates consideration of processor loads and replication. Additionally, fragmentation predicates are only created where necessary and it provides guidance on which type of predicate

is suitable given the characteristics of a given partition. In these two respects it offers an advantage over the other approaches examined.

However, their approach cannot be directly applied to an object oriented system because the metrics and many of the specifics of their allocation heuristics are specific to databases. The metrics suggested by Low and Rasmussen [1], which are valid in the context of an object oriented system, can be used in conjunction with Tamhankar and Ram's approach to produce a new heuristic approach to the allocation problem that better meets the goals for the allocation process [4].

In line with Tamhankar and Ram, the proposed technique is composed of three stages: primary distribution, secondary distribution for response time and secondary distribution for storage space.

#### A. Primary distributions

##### LIST OF TERMS

<b>X</b>	The node to be examined.
<b>Cost<sub>x</sub></b>	Component communications cost for node to be examined. It includes the communications cost and associated processor overhead associated with the communication.
$\forall i; i \in E$	All events, <b>i</b> , from the set of events <b>E</b> .
<b>n<sub>j</sub></b>	The number of times the event <b>j</b> is repeated in a period of interest.
$\forall j; k \leftrightarrow l, k \in x$	All messages, <b>j</b> , where object <b>l</b> requests a service from <b>k</b> and <b>k</b> is an object residing on node <b>x</b>
<b>m<sub>j</sub></b>	The number of times the message, <b>j</b> , is sent between <b>k</b> and <b>l</b> in one occurrence of event <b>i</b> .
<b>loc<sub>j</sub></b>	The number of lines of code needed to implement the service requested by message <b>j</b> .
<b>C<sub>j</sub></b>	Cost of requesting a service provided by <b>k</b> requested by <b>l</b> by message <b>j</b> in the event <b>i</b> . For example [1] recommend incorporating an additional cost of 3 units (equivalent lines of code) for each method invocation. This allows details, like a reference to the calling object, which method is being invoked etc, to be incorporated.
<b>u<sub>j</sub></b>	This variable is 1 if message <b>j</b> will trigger an update and 0 if the message does not trigger an update.
<b>Storage<sub>x</sub></b>	The storage space required to store the partition, <b>x</b> .
<b>d<sub>i</sub></b>	The average amount of data for each instance of the object <b>i</b> .
<b>i<sub>i</sub></b>	The expected number of instances of object <b>i</b> .
<b>s<sub>i</sub></b>	The amount of space required for the executable portion of

Primary distribution is an initial attempt at fragmentation and allocation. The decision about fragmentation and allocation is based on seven criteria: sites of updates, cost of updates, sites of queries, cost of queries, volume of data, currency of data and any overriding considerations that may affect the allocation of that partition. The following variables will be of interest when estimating various aspects of the distributed system's performance.

The following interpretation of seven criteria for primary distribution within the context of object-oriented systems is suggested.

##### 1) Sites of update (SU)

Whether the updates to a partition's constituent objects originate from a single site (1) or multiple sites (M). Only the original source of an event is to be considered as the site of an update, even when those updates originate from other objects

##### 2) Cost of update (CU)

Whether the cost of the updates that occur to a partition's constituent objects is high (HI) or low (LO). Partitions are designated as having a HI or LO cost depending on the total cost of updates to that partition.

An existing component communications cost model for distributed object oriented systems [1] is adapted to differentiate between updates, **u<sub>j</sub>** and queries, **1-u<sub>j</sub>**. Thus the following equation gives the cost model for updates.

$$Cost_x = \sum_{\forall i; i \in E} \left( n_i \times \sum_{\forall j; k \leftrightarrow l, k \in x} [m_j u_j (loc_j + c_j)] \right) \quad (1)$$

Equation (1) should be used to estimate the cost of updates for each partition. Partitions should be ordered by the cost of updates. The group of partitions that form the bottom 10% of the total should be designated as LO. Those partitions comprising the remaining 90% should be designated as having HI update costs.

##### 3) Sites of queries (SQ)

As for sites of update, this indicates whether updates to the partition emanate from a single site (1) or multiple sites (M).

##### 4) Cost of queries (CQ):

As for cost of updates except that the following cost equation should be used where only the cost of queries is considered.

$$Cost_x = \sum_{\forall i; i \in E} \left( n_i \times \sum_{\forall j; k \leftrightarrow l, k \in x} [m_j (1 - u_j) (loc_j + c_j)] \right) \quad (2)$$

##### 5) Volume of data (HI/MD/LO)

The formula for determining storage space requirements is given below:

$$Storage_x = \sum_{\forall i; i \in x} (d_i \times i_i + s_i) \quad (3)$$

The proportion of the total storage space requirements each partition requires, estimated using Equation (3), can be used to guide the allocation of high, medium or low designations. Partitions should be ordered by their estimated storage requirements. The partitions that form the bottom 10% of the total are designated as LO, the next 20% are designated MD and the remaining partitions are designated as HI.

##### 6) Currency of data (CD)

This criterion is used to indicate the kind of currency requirements the objects in that partition require. (O) if one day old, or older data is acceptable or (C) if any object in a partition requires current data.

##### 7) Overriding considerations for a site (OC)

If there are any overriding considerations that have not been covered by the other criteria, like security, that will dictate the allocation of the partition then this criteria has a value of Y.

Tamhankar and Ram suggest a range of partitioning arrangements for primary distribution based on the above criteria. These have been modified so that they are applicable for use with object oriented systems and are presented below (Tables I and II). Based on the additional information gathered in this process the developer may re-consider the partitioning arrangement originally decided upon.

TABLE I  
SUGGESTED PRIMARY DISTRIBUTION STRATEGIES (AFTER [7])

Application, Data and Network Specific Information							Recommended Primary Distribution	Further Analysis?
SU	CU	SQ	CQ	VD	CD	OC		
1	XX	1	LO	XX	XX	N	Site of update	No
1	LO	1	HI	XX	XX	N	Site of query	No
1	HI	1	HI	XX	O	N	Site of update and UCO at the site of query	No
1	HI	1	HI	XX	C	N	Site of update/query	Yes; A
1	LO	M	LO	XX	XX	N	Site of update	No
1	LO	M	HI	LO	O	N	(HF + UCF) / UCO	Yes; C1
1	LO	M	HI	LO	C	N	(HF + SCF) / SCO	Yes ; C2
1	LO	M	HI	MD	O	N	(HF + UCF) / UCO	Yes; C3
1	LO	M	HI	MD	C	N	(HF + SCF) / SCO	Yes; C4
1	LO	M	HI	HI	O	N	(HF + UCF) / UCO	Yes; C5
1	LO	M	HI	HI	C	N	(HF + SCF) / SCO	Yes; C6
1	HI	M	LO	XX	XX	N	Site of update	
1	HI	M	HI	XX	C	N	Site of update + HF / SCO	Yes; B2
1	HI	M	HI	LO	O	N	Site of update + HF / UCO	Yes; B1
1	HI	M	HI	MD	O	N	Site of update + HF / UCO	Yes; B3
1	HI	M	HI	HI	O	N	Site of update + HF / UCO	Yes; B4
M	LO	1	XX	XX	XX	N	Site of query	No
M	HI	1	LO	XX	XX	N	HF	Yes; D
M	HI	1	HI	XX	O	N	HF + UCO at site of query	Yes; E1
M	HI	1	Hi	XX	C	N	(HF + SCF) / SCO at the site of query	Yes; E2
M	LO	M	LO	XX	XX	N	One of the sites of update	No
M	LO	M	HI	LO	O	N	(HF + UCF) / UCO	Yes; C1
M	LO	M	HI	LO	C	N	(HF + SCF) / SCO	Yes; C2
M	LO	M	HI	MD	O	N	(HF + UCF) / UCO	Yes; C3
M	LO	M	HI	MD	C	N	(HF + SCF) / SCO	Yes; C4
M	LO	M	HI	HI	O	N	(HF + UCF) / UCO	Yes; C5
M	LO	M	HI	HI	C	N	(HF + SCF) / SCO	Yes; C6
M	HI	M	LO	XX	XX	N	HF	Yes; D
M	HI	M	HI	XX	C	N	(HF + SCF) / SCO	Yes; F2
M	HI	M	HI	LO	O	N	(HF + UCF) / UCO	Yes; F1
M	HI	M	HI	MD	O	N	(HF + UCF) / UCO	Yes; F3
M	HI	M	HI	HI	O	N	(HF + UCF) / UCO	Yes; F4
XX	XX	XX	XX	XX	XX	Y	As special requirements dictate	

**Abbreviations Used:**

SU: Site of update  
 CU: Cost of update  
 SQ: Site of query  
 CQ: Cost of query  
 VD: Volume of data  
 CD: Currency of data  
 OC: Overriding considerations

XX: Don't care  
 HF: Horizontal fragmentation  
 SCF: Synchronised copy of a fragment  
 UCF: Unsynchronised copy of a fragment  
 SCO: Synchronised copy of a object/classes  
 UCO: Unsynchronised copy of a object/classes

D1 + D2: Both the distributions (D1 and D2)  
 D1 / D2: One of the distributions (D1 or D2)

TABLE II  
DETAILED ANALYSIS FOR PRIMARY DISTRIBUTION STRATEGIES (AFTER [7])

Analysis	Refinement	Description
A		In this case, the allocation of a object/classes can be to the site of query or the site of update, if the sites are not the same. If queries. If updates outnumber queries, the object/classes should be allocated to the site of updates. Otherwise, either site could be chosen for allocation.
B		A copy of the object/classes should be allocated to the site of update. If locality of reference can be identified with a location based attribute, primary or derived, for queries, horizontal fragmentation should be attempted first. If no horizontal fragmentation is possible, one or more copies may be allocated to some sites as follows:
	1	Copies without update synchronization of the object/classes should be allocated to sites with the highest volumes of queries.
	2	One copy with update synchronization of the object/classes may be allocated to the site with the highest volume of queries.
	3	Copies without update synchronization of the object/classes should be allocated to some of the sites with high volume of queries.
	4	One copy without update synchronization of the object/classes should be allocated to the site with the highest volume of queries
C		(a) If locality of reference can be identified with a location based attribute, primary or derived, for queries, horizontal fragmentation should be attempted first. If a sizable portion of queries from a site is still not restricted to the local fragment, copies of other fragments can be further allocated. (b) If no horizontal fragmentation is possible, one or more copies of the object/classes may be allocated to some sites. The decision on number of copies and the sites of allocation for a fragment as in (a), or a object/classes as in (b) can be taken as follows:
	1	Copies without update synchronization should be allocated to sites with high volume of queries.
	2	Copies with update synchronization should be allocated to sites with the highest volume of queries.
	3	Copies without update synchronization should be allocated to some of the sites with high volume of queries.
	4	Copies with update synchronization should be allocated to some of the sites with the highest volume of queries.
	5	One copy of the fragment/object/classes without update synchronization should be allocated to the site with the highest volume of queries.
	6	One copy of the fragment/object/classes with update synchronization should be allocated to the site with the highest volume of queries.
D		If locality of reference can be identified with a location based attribute, primary or derived, for updates, horizontal fragmentation should be attempted. If no horizontal fragmentation is possible, the object/classes should be allocated to the site with the highest number of updates.
E		If locality of reference can be identified with a location based attribute, primary or derived, for updates, horizontal fragmentation should be attempted. If no horizontal fragmentation is possible, the object/classes should be allocated to the site with the highest number of updates
	1	One copy of the object/classes can be allocated to the site of the query.
	2	If the object/class is horizontally fragmented for updates and the queries are localized to a fragment, a copy of the fragment may be kept at the site of query. If no horizontal fragmentation or queries are not localized, a copy of the object/classes may be allocated to the site of query
F		(a) If locality of reference can be identified with a location based attribute, primary or derived, for queries, horizontal fragmentation should be attempted first. If a sizable portion of queries from a site is still not restricted to the local fragment, copies of other fragments can be further allocated. (b) If no horizontal fragmentation is possible, one or more copies of the object/classes may be allocated to some sites.
	1	Copies without update synchronization should be allocated to sites with high volume of queries
	2	One copy of the fragment/object/classes with update synchronization should be allocated to the site with the highest volume of queries.
	3	Copies without update synchronization should be allocated to some of the sites with high volume of queries.
	4	Copies without update synchronization should be allocated to the site with the highest volume of queries.

### B. Secondary distribution for response time

Secondary distribution for response time improvement allows the designer to improve distribution of the application to reduce the cost of transactions. Improvements are then sought to the distribution arrangement by: relocating a fragment, maintaining a copy of the fragment and/or clustering fragments for a transaction.

This process requires the user of the technique to estimate the processor and network loads produced when an allocation arrangement resulting from the primary distribution phase is used. For the purposes of this paper the cost functions proposed in [1] are extended to incorporate support for replication.

Processor load is calculated by multiplying the estimated number of times a particular method is invoked by the number of lines of code required to implement that method. In addition to the cost of invocation it is assumed that inter-process communication also requires additional processing overhead (finding an instance of the object, marshalling and un-marshalling of parameters etc). For the purposes of this example this additional overhead is estimated to be an additional 30 lines of code for each remote method invocation and each return.

Communications cost includes the cost of querying/updating fragments on different nodes and keeping the replicas of individual objects/classes consistent. It is calculated by estimating the number of times that a method is

invoked on a remote node times the size of the communications message(s) between the two nodes for that invocation. In addition to the explicit input and output parameters, [1] recommend incorporating an additional cost of 3 units to the size of the communications message for each method invocation. This additional cost allows details, like a reference to the calling object, which method is being invoked etc, to be incorporated.

Additionally, the cost of locking, for read and write operations, should be incorporated into the estimates for processor and communications loads.

### C. Secondary distribution for storage space

The final stage in the proposed allocation process is secondary distribution for storage space. This stage allows the incorporation of storage space constraints in the allocation arrangement. It is recommended that Equation (3) be used to estimate storage space requirements for each node. It is suggested that partitions with medium/high data volumes and low query update/query cost are the partitions most suitable for relocation if storage space constraints on a particular node are exceeded [7].

## IV. WORKED EXAMPLE

The new allocation technique will be demonstrated using an illustrative example.

### A. Description of example system

A group of fifty travel agents, have convinced the transport operators that they need a centralised booking system. The airlines and cruise companies, convinced of the cost savings centralised booking system would entail, agree to participate.

Travel Agents help customers find flights or cruises that suit their travel plans. They search for flights or cruises that will take a customer where he/she wants to go on the dates requested. When a customer has chosen a flight, the Travel Agent tries to book tickets for the customer. If a customer decides that he/she no longer wishes to take the flight or cruise the travel agent can cancel it.

The airlines have decided that they will offer a frequent flyer bonus points program. Customers that have flown with an airline will gain bonus points that the customer can later reclaim on flights with that airline. The cruise companies have decided that a similar frequent cruiser program would not benefit them and prefer to offer their customers slightly cheaper prices on their cruises instead.

There are five main use cases in this system: new customer, search for suitable flights, book ticket, cancel ticket and update frequent flyer/cruiser points.

In order to produce allocation arrangements using the allocation technique described above detailed descriptions of each of the use case scenarios, and the network upon which the system is to be allocated are required.

Estimates for the implementation details for each class and the methods that they implement are also required. The number of instances of each object is estimated along with the

average size of each instance and the methods required to implement that object.

Traces of each use case are required for each event. These traces include estimates of the following for each message exchanged between objects in the execution of the event: size of the message sent (method arguments or return arguments), the estimated cost of executing that message (number of lines of code is one suggested metric) and the number of times that message is repeated. Table III is an example event trace for the cancel event.

TABLE III  
EVENT TRACE FOR CANCEL EVENT

Cancel		100 per day				
From	To	Service Req'd	Arg	Arg	Invocation	Repeated
			Costs	Costs	Cost	
Travel Agent	Ticket	Cancel ticket	-	-	10	1
Ticket	Travel Agent	RETURN	-	-	-	1

In order to allocate the aforementioned objects to actual nodes in the system, the layout of the nodes in the system must be understood. Fifty travel agencies take part in this system along with two cruise operators and three airlines. The Travel Agencies are based in capital cities situated on Australia's eastern seaboard, 20 in Sydney, 12 in Brisbane and the remaining 18 in Melbourne. The following table shows how the events are divided between the three cities.

The conglomerate decides to establish three nodes for this system. One based in Sydney to service the Sydney offices, a second in Brisbane and a third in Melbourne. Two of the airlines are based in Sydney while the third is based in Brisbane. The requests for updating frequent flyer points are assumed to originate from the location of the airline. One of the cruise operators is based in Sydney, the other in Melbourne.

TABLE IV  
NUMBER OF EVENTS ORIGINATING FROM EACH NODE

	Bris	Syd	Melb	Total
New Customer	24	40	36	100
Search	2,160	3,600	3,240	9,000
Book	720	1,200	1,080	3,000
Cancel Ticket	24	40	36	100
Update Bonus Points	1	2	0	3

### B. Applying the new allocation technique

The classes must be partitioned before the allocation algorithm can be used. The final partitioning arrangement was produced according to the process described by Barney [10]:

**A** — Flight, Cruise, Route                      **D** — Ticket  
**B** — Customer, Bonus Account                **E** — Airline  
**C** — Airport, Port                                **F** — Travel Agent,

This partitioning arrangement reflects an analysis for communication costs, concurrency, replication concerns and class similarity.

The process for fragmentation and allocation of a system begins with primary distribution. These fragmentation and allocation decisions are gradually refined to optimise all

aspects of system performance. Primary distribution incorporates: the overhead for update events, query events, the volume of data and the currency of data. The corresponding total instances of each class and the number of replicas (in brackets) are shown in Table V

TABLE V  
PRIMARY DISTRIBUTION EXAMPLE

Class	Instances assigned to each node		
	Bris.	Syd.	Melb.
A Route	25 (10)	30 (10)	25 (10)
Flight	5,000 (2,000)	6000 (2000)	5000 (2000)
Cruise	100 (40)	120 (40)	100 (40)
B Customer	2,867 (0)	25,333 (0)	1,800 (0)
Bonus A/C	1,667 (0)	58,333 (0)	0 (0)
C Airport	0 (0)	230 (0)	0 (0)
Port	0 (0)	70 (0)	0 (0)
D Ticket	310000 (62000)	372,000 (62,000)	310,000 (62,000)
E Airline	0 (0)	3 (0)	0 (0)
F Travel Agent	0 (0)	50 (0)	0 (0)

Table VI shows the estimated properties of each fragment in the dimensions discussed previously: site of the updates, cost of updates, sites of queries, volume of data, data currency requirements and other overriding considerations.

TABLE VI  
ESTIMATED FRAGMENT PROPERTIES

	SU	CU	SQ	CQ	VD	CD	OC
A	I	LO	M	HI	LO	C	N
B	M	HI	M	LO	HI	O	N
C	M	LO	M	LO	LO	C	N
D	M	HI	M	HI	MD	C	N
E	M	LO	M	LO	LO	O	N
F	M	LO	M	LO	LO	O	N

### 1) Partition A - Flight, Cruise and Route

The suggested primary allocation strategy, using Table VI in combination with Tables I and II, is horizontal fragmentation of the partition, combined with creating synchronised copies of some of those fragments

In order to perform horizontal fragmentation it is recommended that a location based-attribute(s) that can be used to perform horizontal fragmentation be identified.

The destination list of the Route class is a suitable location-based attribute for horizontal fragmentation of this partition. People would be far more likely to book flights including the city they are currently in as part of that route. If a Route object exists on a node then all corresponding Flight or Cruise instances that service that route should also be located on the node.

### 2) Partition B - Customer and Bonus Account

The proposed technique recommends horizontal fragmentation for this partition if a location-based attribute can be identified. If no locality of reference can be identified then the relation should be allocated to the partition where the most updates take place.

Updates to the partition originate from the three airlines or from the Travel Agent when a new instance of the customer

class is created. Two of the airlines are based in Sydney and the third in Brisbane. Customers, however, have a mix of bonus point accounts from the three different airlines. The following horizontal fragmentation arrangement is therefore suggested.

Instances of the Customer class that don't have any bonus point accounts can remain at the node upon which they were created.

Customers with only one bonus point account should be allocated to the node where the airline corresponding to the Bonus Point Account is located.

If the customer has more than one bonus point account at least one of those bonus accounts will be for an airline from Sydney as two airlines are located in Sydney and one in Brisbane. Therefore, partition fragments that match this criterion should be allocated to the Sydney node.

This arrangement is reflected in the following table.

TABLE VII  
SUMMARY OF ALLOCATION ARRANGEMENT FOR PARTITION B

	Brisbane		Sydney		Melbourne	
	Cust	Bonus A/C	Cust	A/C	Cust.	Bonus A/C
Customer (0 A/Cs)	1200	0	2000	0	1800	0
Customer (1 A/C)	1667	1667	3333	3333	0	0
Customer (2+ A/Cs)	0	0	20000	55,000	0	0
Total	2867	1667	25333	58333	1800	0

### 3) Partition C - Airport and Port

The proposed technique recommends that the instances of these two classes be allocated to one of the sites of update. Most of the airlines and travel agents operate out of Sydney so the most updates to this class will come from users of the system located in Sydney. This partition will therefore be allocated exclusively to the Sydney node.

### 4) Partition D - Ticket

Horizontal partitioning using a location-based attribute is recommended for this partition. Furthermore, it is recommended that one copy of the fragment/partition with update synchronization should be allocated to the site with the highest volume of queries.

In the case of the Ticket object, its reference to the Transport object (parent class of both Cruise and Flight classes) acts as a valid attribute for deciding to which node the fragments of this partition should be allocated. The instances of the Ticket class should therefore be assigned to the node where the Ticket's corresponding Flight or Cruise instance has been allocated. In the case of the Flight or Cruise instances that have been replicated, the corresponding Ticket objects can also be replicated to all nodes where that flight object exists.

### 5) Partition E - Airline

The proposed technique recommends that the instances of this partition be allocated to one of the sites of updates. Two of the three airlines are located in Sydney and one in Brisbane. The majority of updates to instances of the Airline object will

therefore originate in Sydney. It is therefore suggested that Sydney is the best location for this partition.

#### 6) Partition F – Travel Agent

The proposed technique recommends allocating all instances of this partition one of the sites of updates. As most of the travel agents are located in Sydney this partition should be allocated to the Sydney node.

### C. Secondary Distribution for Response Time

The results of performing performance analysis are summarized in Table VIII. It demonstrates quite clearly that the search event is the biggest contributor to both processor load and inter-process communication. This method should therefore be the focus of any changes to improve response time.

TABLE VIII  
SECONDARY ANALYSIS FOR RESPONSE TIME

Event	Communication Costs		Processor Load	
	Total	As %	Total	As %
New Customer	79164	6%	36880	0%
Search	1286100	91%	713961000	96%
Book	24060	2%	26467140	4%
Cancel Ticket	150	0%	2700	0%
Update Bonus Points	20196	1%	2435070	0%
<b>Total</b>	<b>1409670</b>	<b>100%</b>	<b>742902790</b>	<b>100%</b>

The communication between the Travel Agent class and the Flight class represent the single biggest contribution to the inter-process communication volume in the Search event. Two potential changes that could be made to improve response time are fully replicating partitions A and F or to allocate a single copy of the A and F partitions to the Sydney node.

By having complete replicas of partitions A and F on every node, the inter-process communication between these classes would be converted into local communication, thus reducing both processor load and inter-process communication volumes. Creating replicas of these partitions will probably increase the communication and processor cost due to replication associated with the Cancel Ticket event and any other updates to partitions A and F. These updates would however be of low frequency compared to the frequency of the Search event. The Search event represents such an overwhelming proportion of the inter-process communication and processor load costs that the increase in load for this event would probably be more than offset by the reductions gained in the Search event.

Similarly, the alternative strategy of allocating partitions A and F to the Sydney node eliminates all inter-process communication between these two partitions in the Search event. This must be weighed against the concurrency lost and the higher load placed on the Sydney node if this allocation arrangement were chosen.

The implications of these, and other, alternatives must be evaluated in the light of the desired performance characteristics of the system being developed.

### D. Storage space optimization

The technique suggested here also has scope for optimising the storage space requirements of the system. The following table shows the results of conducting this analysis on the Sydney node after secondary distribution for response-time has taken place.

TABLE IX  
SECONDARY ANALYSIS FOR STORAGE SPACE ON SYDNEY NODE

C class	Instances	Code	Attributes	Data	Total	% of Grand Total	
						Total	%
Travel Agent	20	1030	820	16400	17430	0%	
Route	30	140	218	6540	6680	0%	
Transport	1	830	0	0	830	0%	
Flight	6000	150	308	1848000	1848150	3%	
Cruise	120	70	514	61680	61750	0%	
Customer	25333	400	1210	30652930	30653330	50%	
Bonus	58333	350	29	1691657	1692007	3%	
Account							
Location	1	60	0	0	60	0%	
Airport	230	30	92	21160	21190	0%	
Port	70	30	144	10080	10110	0%	
Ticket	372000	380	18	6696000	6696380	11%	
Airline	3	350	3040	9120	9470	0%	
<b>Total for Sydney</b>					<b>41017387</b>	<b>67%</b>	

Over 50% of the storage space required for the system is allocated to the Sydney node. If the amount of data stored in Sydney were to exceed the hardware limits there are a number of strategies that could be used to reduce the storage space required on that node.

Instances of the customer object represent approximately 50% of the storage space requirements of the system. Most of the instances of this class are located on the Sydney node. One strategy to reduce the storage space requirements on that node would be to relocate some of those instances of the customer object to Brisbane or Melbourne. This could impact negatively on the performance of the Create Customer and Update Bonus Points events but since both of these events represent very small proportions of the total processor and inter-process communication loads this would probably have very little impact on overall system performance.

### V. COMPARISON WITH OTHER TECHNIQUES

A comparison between the performance of the proposed technique and Low and Rasmussen's technique has been performed on the example system. This analysis confirms the superiority of the proposed technique, at least as applied to the example system.

As a summary of this comparison, the estimated inter-node communication and CPU-load have been presented in the following tables.

TABLE X  
INTER-NODE COMMUNICATION FOR ALLOCATION DERIVED FROM LOW AND RASMUSSEN'S TECHNIQUE [1]

	Brisbane	Sydney	Melbourne
Brisbane	-	16427098	0
Sydney	-	-	308268
Melbourne	-	-	-

The Table X presents the estimated levels of inter-node communication when Low and Rasmussen's technique is used to allocate the objects/classes in the example system. Similarly Table XI shows the inter-node communication produced when the example system's objects/classes are allocated according to the proposed technique.

TABLE XI  
INTER-NODE COMMUNICATION FOR ALLOCATION DERIVED FROM PROPOSED TECHNIQUE

	Brisbane	Sydney	Melbourne
Brisbane	-	480360	335467
Sydney	-	-	593844
Melbourne	-	-	-

Inter-node communication is considerably lower and better balanced using the proposed technique compared with [1]. For instance, applying the latter technique, there is no direct communication between the Melbourne and Brisbane nodes while the connection between the Brisbane and Sydney nodes is very high. Contrast this with the communication between the nodes in the allocation arrangement produced by the proposed technique where the communications load is shared comparatively evenly.

TABLE XII  
COMPARISON OF CPU LOAD

Node	Low and Rasmussen	Proposed Technique
Brisbane	757,314,160	183,514,953
Sydney	38,437,880	293,957,015
Melbourne	2,172,000	26,5430,822
Total	797,924,040	742,902,790

Table XII shows a comparison between the estimated CPU load on each of the nodes in the example when the objects/classes are allocated according to [1] and the proposed method. Again, the proposed technique produces an allocation arrangement where the total estimated CPU-Load is lower, that load is also better balanced.

Comparing the proposed method to a single alternative technique is, however, just a start. In order to show that the proposed technique is superior to alternative techniques in most cases a more thorough comparison of the performance of the proposed technique and existing object allocation techniques needs to be undertaken. This is an important part of the future work necessary to validate the proposed allocation technique.

## VI. CONCLUSION

Several allocation techniques were surveyed from not only the object orientated world but also databases and non-object orientated distributed systems. The database allocation technique produced by the technique described in [7] was found to best support the goals for the allocation process [4] by: supporting replication, minimizing inter-process communication and execution costs, providing support for

scalability and fault tolerance and integrating allocation and fragmentation into a single process. The alternative techniques examined implemented, at best, a sub-set of these advantages. The Tamhankar and Ram technique was adapted for use with object oriented systems.

This was achieved by: establishing a mapping between the database and object orientated terminologies and modifying their technique to account for differences between databases and object orientated systems.

The proposed technique was applied to an illustrative example. The new technique produced an allocation arrangement that meets the goals set for the allocation process by Shatz and Wang [4]. By incorporating, processor load estimates, object replication and partition fragmentation this technique is more comprehensive than the alternatives considered in the domain of object oriented distributed systems when object replication is available.

In order to fully validate the proposed technique's effectiveness, further empirical evaluation of its performance is necessary. We have undertaken a preliminary step in this direction by comparing the proposed technique to one of the examined alternative allocation techniques [1]. This comparison did indeed show that, in this case, the proposed technique is superior. A wider and more thorough comparison of the performance of the proposed technique and of the other allocation techniques is an important part of the future work that needs to be undertaken.

## REFERENCES

- 1 G. C. Low and G. Rasmussen, "Partitioning and Allocation of Objects in Distributed Application Development," *Journal of Research and Practice on Information Technology*, vol. 32, pp. 75-106, 2000.
- 2 W. T. Chang and C. C. Tseng, "Clustering Approach to Grouping Objects in Message-Passing Systems," *Journal of Object Orientated Programming*, vol. 7, pp. 42-43, 46-50, 1995.
- 3 S. Purao, H. K. Jain, and D. L. Nazareth, "Exploiting Design Information to Derive Object Distribution Models," *IEEE Transactions on Systems, Man, and Cybernetics*, vol. 32, pp. 320-334, 2002.
- 4 S. M. Shatz and J. Wang, *Tutorial: Distributed Software Engineering*, IEEE Computer Society, 1989.
- 5 K. Efe, "Heuristic Models of Task Assignment Scheduling in Distributed Systems," *IEEE Computer*, vol. 15, pp. 50 - 6, 1982.
- 6 S. Ceri and P. G., *Distributed Databases: Principles and Systems*: Mc Graw-Hill, 1984.
- 7 A. M. Tamhankar and S. Ram, "Database Fragmentation and Allocation: An Integrated Technique and Case Study," *IEEE Transactions on Systems, Man, and Cybernetics*, vol. 28, pp. 288-305, 1998.
- 8 K. Karlapalem and Q. Li, "A Framework for Class Partitioning in Object-Oriented Databases," *Distributed and Parallel Databases*, vol. 8, pp. 333-366, 2000.
- 9 A. R. Chaturvedi, C. A.K., and J. Roan, "Scheduling the Allocation of Data Fragments in a Distributed Database Environment: A Machine Learning Approach," *IEEE Transactions on Engineering Management*, vol. 41, pp. 194-207, 1994.
- 10 H. Barney, "Object Replication: a methodology for improving the performance of object oriented systems," in *School of Information Systems, Technology and Management*, vol. BSc. (Hons). Sydney: UNSW, 2003, pp. 205.
- 11